# EXHIBIT 1

12-Person Jury

Return Date: No return date scheduled

Hearing Date: 9/23/2019 9:30 AM - 9:30 AM

Courtroom Number: 2408 Location: District 1 Court Cook County, IL

## IN THE CIRCUIT COURT OF COOK COUNTY, ILLINOIS COUNTY DEPARTMENT, CHANCERY DIVISION

FILED 5/24/2019 2:37 PM DOROTHY BROWN CIRCUIT CLERK COOK COUNTY, IL 2019CH06434

COREY HEARD, individually and on behalf of	)	20130110
all others similarly situated,	)	5186820
Plaintiff,	)	Case No. <sup>2019</sup> CH06434
v.	)	Cast 1101
BECTON, DICKINSON & COMPANY,	)	JURY TRIAL DEMANDED
Defendant.	)	
	)	

#### **CLASS ACTION COMPLAINT**

Plaintiff Corey Heard ("Plaintiff" or "Heard") individually and on behalf of all others similarly situated (the "Class"), by and through his attorneys, brings the following Class Action Complaint ("Complaint") pursuant to the Illinois Code of Civil Procedure, 735 ILCS §§ 5/2-801 and 2-802, against Becton, Dickinson & Company ("Becton"), its subsidiaries and affiliates, to redress and curtail Defendant's unlawful collection, use, storage, and disclosure of Plaintiff's sensitive and proprietary biometric data. Plaintiff alleges as follows upon personal knowledge as to himself, his own acts and experiences and, as to all other matters, upon information and belief, including investigation conducted by his attorneys.

#### NATURE OF THE ACTION

1. Defendant Becton is a leading manufacturer of medical technology that produces and sells medical devices, instrument systems, and reagents. Becton sells its products and services to healthcare institutions, science researchers, clinical laboratories, and the pharmaceutical industry, among others. Becton manufactures the Pyxis MedStation system, and related Pyxis devices (collectively referred to as "Pyxis"), all of which are automated medication dispensing

systems that require their users to scan a fingerprint to access the system. In Illinois alone, Becton provides Pyxis devices to dozens of hospitals, including St. Bernard Hospital, Norwegian American Hospital, Community First Medical Center, Weiss Memorial Hospital, Advocate Illinois Masonic Hospital, Northwestern Lake Forest Hospital, and Northwestern Memorial Hospital, amongst others.

- 2. Becton requires users to scan their biometric information, namely their fingerprint, when using the Pyxis devices to access medication. Within a single hospital location, there are typically multiple Pyxis devices. Once a user has registered his or her fingerprint with the system, they have access to multiple Pyxis devices within that hospital.
- 3. Unlike ID badges or key fobs— which can be changed or replaced if stolen or compromised fingerprints are unique, permanent biometric identifiers associated with each employee. This exposes employees who are required to use Pyxis devices as a condition of their employment to serious and irreversible privacy risks. For example, if a database containing fingerprints or other sensitive, proprietary biometric data is hacked, breached, or otherwise exposed—like in the recent Google+, Equifax, Uber, Facebook/Cambridge Analytica, and Marriott data breaches or misuses—employees have <u>no</u> means by which to prevent identity theft, unauthorized tracking or other unlawful or improper use of this highly personal and private information.
- 4. Biometrics are not relegated to esoteric corners of commerce. Many businesses such as hospitals and financial institutions have incorporated biometric applications into their workplace in the form of biometric timeclocks, and into consumer products, including such ubiquitous consumer products as checking accounts and cell phones.

- 5. In 2015, a data breach at the United States Office of Personnel Management exposed the personal identification information, including biometric data, of over 21.5 million federal employees, contractors, and job applicants. U.S. Off. of Personnel Mgmt., *Cybersecurity Incidents* (2018), *available at* www.opm.gov/cybersecurity/cybersecurity-incidents.
- 6. An illegal market already exists for biometric data. Hackers and identity thieves have targeted Aadhaar, the largest biometric database in the world, which contains the personal and biometric data – including fingerprints, iris scans, and a facial photograph – of over a billion Indian citizens. See Vidhi Doshi, A Security Breach in India Has Left a Billion People at Risk of Identity Theft, The Washington 4, Post (Jan. 2018), available at https://www.washingtonpost.com/news/worldviews/wp/2018/01/04/a-security-breach-in-indiahas-left-a-billion-people-at-risk-of-identity-theft/?utm\_term=.b3c70259f138.
- 7. In January 2018, an Indian newspaper reported that the information housed in Aadhaar was available for purchase for less than \$8 and in as little as 10 minutes. Rachna Khaira, Rs 500, 10 Minutes, and You Have Access to Billion Aadhaar Details, The Tribune (Jan. 4, 2018), available at http://www.tribuneindia.com/news/nation/rs-500-10-minutes-and-you-have-access-to-billion-aadhaar-details/523361.html.
- 8. Recognizing the need to protect its citizens from situations like these, Illinois enacted the Biometric Information Privacy Act ("BIPA"), 740 ILCS 14/1, et seq., specifically to regulate companies that collect, store and use Illinois citizens' biometrics, such as fingerprints.
- 9. Notwithstanding the clear and unequivocal requirements of the law, Defendant disregards Pyxis users' statutorily protected privacy rights and unlawfully collects, stores, disseminates, and uses employees' biometric data in violation of BIPA. Specifically, Defendant has violated and continues to violate BIPA because it did not and continues not to:

- a. Properly inform Plaintiff and others similarly situated in writing of the specific purpose and length of time for which their fingerprints were being collected, stored, and used, as required by BIPA;
- b. Receive a written release from Plaintiff and others similarly situated to collect, store, or otherwise use their fingerprints, as required by BIPA;
- c. Provide a publicly available retention schedule and guidelines for permanently destroying Plaintiff's and other similarly-situated individuals' fingerprints, as required by BIPA; and
- d. Obtain consent from Plaintiff and others similarly situated to disclose, redisclose, or otherwise disseminate their fingerprints to a third party as required by BIPA.
- 10. Plaintiff and other similarly-situated individuals are aggrieved because they were not: (1) informed in writing of the purpose and length of time for which their fingerprints were being collected, stored, disseminated and used; (2) provided a publicly available retention schedule or guidelines for permanent destruction of the biometric data; and (3) provided (nor did they execute) a written release, as required by BIPA.
- 11. Upon information and belief, Defendant improperly discloses Pyxis user's fingerprint data to other, currently unknown, third parties, including, but not limited to third parties that host biometric data in their data center(s).
- 12. Upon information and belief, Defendant lacks retention schedules and guidelines for permanently destroying Plaintiff's and other similarly-situated individuals' biometric data and have not and will not destroy their biometric data as required by BIPA.
- 13. Plaintiff and others similarly situated are aggrieved by Defendant's failure to destroy their biometric data when the initial purpose for collecting or obtaining such data has been satisfied or within three years of the user's last interactions with Pyxis.
- 14. Plaintiff and others similarly situated have suffered an injury in fact based on Defendant's improper disclosures of their biometric data to third parties.

- 15. Plaintiff and others similarly situated have suffered an injury in fact based on Defendant's violations of their legal rights.
- 16. These violations have raised a material risk that Plaintiff's and other similarly-situated individuals' biometric data will be unlawfully accessed by third parties. The Illinois Attorney General has just ranked identity theft as the top scam targeting Illinois residents. (See, e.g., Exhibit A).
- 17. Pyxis Users have a proprietary right to control their biometric information. In failing to comply with the requirements of BIPA, Defendant intentionally interferes with each user's right of possession and control over their valuable, unique, and permanent biometric data.
- 18. Defendant is directly liable for, and had actual knowledge of, the BIPA violations alleged herein.
- 19. Accordingly, Plaintiff seeks an Order: (1) declaring that Defendant's conduct violates BIPA; (2) requiring Defendant to cease the unlawful activities discussed herein; and (3) awarding statutory damages to Plaintiff and the proposed Class.

#### **PARTIES**

- 20. Plaintiff Corey Heard is a natural person and a resident of the State of Illinois.
- 21. Defendant Becton, Dickinson & Company is a New Jersey corporation that is registered to do business in Illinois.

#### JURISDICTION AND VENUE

22. This Court has jurisdiction over Defendant pursuant to 735 ILCS § 5/2-209 because it conducts business transactions in Illinois, committed statutory violations and tortious acts in Illinois, and is registered to conduct business in Illinois.

23. Venue is proper in Cook County because Defendant is authorized to conduct business in this State, Defendant conducts business transactions in Cook County, and Defendant committed the statutory violations alleged herein in Cook County and throughout Illinois.

#### FACTUAL BACKGROUND

#### I. The Biometric Information Privacy Act

- 24. In the early 2000s, major national corporations started using Chicago and other locations in Illinois to test "new applications of biometric-facilitated financial transactions, including finger-scan technologies at grocery stores, gas stations, and school cafeterias." 740 ILCS § 14/5(c). Given its relative infancy, an overwhelming portion of the public became weary of this then-growing yet unregulated technology. *See* 740 ILCS § 14/5.
- 25. In late 2007, a biometrics company called Pay by Touch, which provided major retailers throughout the State of Illinois with fingerprint scanners to facilitate consumer transactions, filed for bankruptcy. That bankruptcy was alarming to the Illinois Legislature because suddenly there was a serious risk that millions of fingerprint records which, like other unique biometric identifiers, can be linked to people's sensitive financial and personal data could now be sold, distributed, or otherwise shared through the bankruptcy proceedings without adequate protections for Illinois citizens. The bankruptcy also highlighted the fact that most consumers who used the company's fingerprint scanners were completely unaware that the scanners were not actually transmitting fingerprint data to the retailer who deployed the scanner, but rather to the now-bankrupt company, and that their unique biometric identifiers could now be sold to unknown third parties.

- 26. Recognizing the "very serious need [for] protections for the citizens of Illinois when it [came to their] biometric information," Illinois enacted BIPA in 2008. See Illinois House Transcript, 2008 Reg. Sess. No. 276; 740 ILCS § 14/5.
- Additionally, to ensure compliance, BIPA provides that, for <u>each</u> violation, the prevailing party may recover \$1,000 or actual damages, whichever is greater, for negligent violations and \$5,000, or actual damages, whichever is greater, for intentional or reckless violations. 740 ILCS 14/20.
- 28. BIPA is an informed consent statute which achieves its goal by making it unlawful for a company to, among other things, collect, capture, purchase, receive through trade, or otherwise obtain a person's or a customer's biometric identifiers or biometric information, unless it first:
  - a. Informs the subject in writing that a biometric identifier or biometric information is being collected, stored and used;
  - b. Informs the subject in writing of the specific purpose and length of term for which a biometric identifier or biometric information is being collected, stored, and used; and
  - c. Receives a written release executed by the subject of the biometric identifier or biometric information.

See 740 ILCS § 14/15(b).

- 29. BIPA specifically applies to employees who work in the State of Illinois. BIPA defines a "written release" specifically "in the context of employment [as] a release executed by an employee as a condition of employment." 740 ILCS 14/10.
- 30. Biometric identifiers include retina and iris scans, voiceprints, scans of hand and face geometry, and most importantly here fingerprints. See 740 ILCS § 14/10. Biometric

information is separately defined to include any information based on an individual's biometric identifier that is used to identify an individual. *Id*.

- 31. BIPA also establishes standards for how companies must handle Illinois citizens' biometric identifiers and biometric information. See, e.g., 740 ILCS § 14/15(c)-(d). For example, BIPA prohibits private entities from disclosing a person's or customer's biometric identifier or biometric information without first obtaining consent for such disclosure. See 740 ILCS § 14/15(d)(1).
- 32. BIPA also prohibits selling, leasing, trading, or otherwise profiting from a person's biometric identifiers or biometric information (740 ILCS § 14/15(c)) and requires companies to develop and comply with a written policy made available to the public establishing a retention schedule and guidelines for permanently destroying biometric identifiers and biometric information when the initial purpose for collecting such identifiers or information has been satisfied or within three years of the individual's last interaction with the company, whichever occurs first. 740 ILCS § 14/15(a).
- 33. The Illinois legislature enacted BIPA due to the increasing use of biometric data in financial and security settings, the general public's hesitation to use biometric information, and most significantly the unknown ramifications of biometric technology. Biometrics are biologically unique to the individual and, once compromised, an individual is at heightened risk for identity theft and left without any recourse.
- 34. BIPA provides individuals with a private right of action, protecting their right to privacy regarding their biometrics as well as protecting their rights to know the precise nature for which their biometrics are used and how they are being stored and ultimately destroyed. Unlike other statutes that only create a right of action if there is a qualifying data breach, BIPA strictly

regulates the manner in which entities may collect, store, use, and disseminate biometrics and creates a private right of action for lack of statutory compliance.

35. Plaintiff, like the Illinois legislature, recognizes how imperative it is to keep biometric information secure. Biometric information, unlike other personal identifiers such as a social security number, cannot be changed or replaced if hacked or stolen.

#### II. Defendant Violates the Biometric Information Privacy Act.

- 36. By the time BIPA passed through the Illinois legislature in mid-2008, most companies who had experimented using individuals' biometric data stopped doing so.
- 37. However, Defendant failed to take note of the shift in Illinois law governing the collection, use and dissemination of biometric data. As a result, Defendant continues to collect, store, use and disseminate Illinois employees' biometric data in violation of BIPA.
- 38. Specifically, when an employee first begins work at a company that uses a Pyxis device, they are required to have their fingerprint or palm print scanned in order to enroll them in the Pyxis database.
- 39. Unfortunately, Becton fails to inform these employees that Becton is collecting, storing or using their sensitive biometric data, the extent of the purposes for which it collects their sensitive biometric data, or to whom the data is disclosed, if at all.
- 40. In those instances, Becton similarly fails to inform the employees that Becton is collecting, storing, or using their sensitive biometric data, the extent of the purposes for which it collects their sensitive biometric data, or to whom the data is disclosed, if it all.
- 41. Becton, fails to provide employees a written, publicly-available policy identifying its retention schedule and guidelines for permanently destroying employees' biometric data when

the initial purpose for collecting or obtaining their biometrics is no longer relevant, as required by BIPA.

- 42. In addition, Becton profits from the use of employees' biometric data. For instance, Becton markets its Pyxis devices to employers as superior options to medication disbursement because it improves precision and accuracy of user access via biometric identification. By marketing Pyxis in this manner, Becton obtains a competitive advantage over automated medication companies and secures profits from its use of biometric data, all while failing to comply with the minimum requirements for handling employees' biometric data established by BIPA.
- 43. The Pay by Touch bankruptcy that catalyzed the passage of BIPA highlights why such conduct where individuals are aware that they are providing a fingerprint but not aware to whom or for what purposes they are doing so is dangerous. That bankruptcy spurred Illinois citizens and legislators into realizing that it is crucial for individuals to understand when providing biometric identifiers, such as a fingerprint, who exactly is collecting their biometric data, where it will be transmitted and for what purposes, and for how long. Defendant disregards these obligations and these employees' statutory rights and instead unlawfully collect, store, use and disseminate their biometric identifiers and information, without ever receiving the individual's informed written consent required by BIPA.
- 44. Remarkably, Defendant has created the same situation that Pay by Touch did by assembling a database of biometric data through broadly deployed fingerprint scanners, but failed to comply with the law specifically designed to protect individuals whose biometrics are collected in these circumstances. Defendant disregards these obligations and Illinois employees' statutory rights and instead unlawfully collects, stores, uses, and disseminates employees' biometric

identifiers and information without ever receiving the individual's informed written consent required by BIPA.

- 45. Users are not told what might happen to their biometric data if and when Defendant merges with another company or worse, if and when Defendant's businesses folds, or when the other third parties that have received their biometric data businesses fold.
- 46. Since Defendant neither publishes a BIPA-mandated data-retention policy nor discloses the purposes for their collection and use of biometric data, employees have no idea whether Defendant sells, discloses, re-discloses, or otherwise disseminates their biometric data. Moreover, Plaintiff and others similarly situated are not told to whom Defendant currently discloses their biometric data to, or what might happen to their biometric data in the event of a merger or a bankruptcy.
- 47. These violations have raised a material risk that Plaintiff's and other similarly-situated individuals' biometric data will be unlawfully accessed by third parties.
- 48. By and through the actions detailed above, Defendant disregards Plaintiff's and other similarly-situated individuals' legal rights in violation of BIPA.

#### III. Plaintiff Heard's Experience

- 49. Plaintiff Corey Heard has worked as a respiratory therapist for multiple hospitals that utilize Pyxis, including St. Bernard Hospital from May 2015 until November 2017, Norwegian American Hospital in 2018, Community First Medical Center in 2018 and 2019, Weiss Memorial Hospital January in 2019 and Advocate Illinois Masonic Hospital March 2019 to the present ("the Hospitals").
- 50. As a condition of employment, Plaintiff was required to scan his fingerprint so it could be used as an authentication method to access the Pyxis devices.

- 51. Defendant subsequently stored Plaintiff's fingerprint data in their systems.
- 52. The Hospitals subsequently stored Plaintiff's fingerprint data in their systems.
- 53. Plaintiff was required to scan his fingerprint each time he accessed the Pyxis devices.
- 54. Plaintiff has never been informed of the specific limited purposes or length of time for which Defendant collected, stored, used and/or disseminated his biometric data.
- 55. Plaintiff has never been informed of any biometric data retention policy developed by Defendant, nor has he ever been informed of whether Defendant will ever permanently delete his biometric data.
- 56. Plaintiff has never been provided with nor ever signed a written release allowing Defendant to collect, store, use or disseminate his biometric data.
- 57. Plaintiff has continuously and repeatedly been exposed to the risks and harmful conditions created by Defendant's violations of BIPA as alleged herein.
- 58. No amount of time or money can compensate Plaintiff if his biometric data is compromised by the lax procedures through which Defendant captured, stored, used, and disseminated his and other similarly-situated individuals' biometrics. Moreover, Plaintiff would not have provided his biometric data to Defendant if he had known that they would retain such information for an indefinite period of time without his consent.
- 59. A showing of actual damages is not necessary in order to state a claim under BIPA. See Rosenbach v. Six Flags Ent. Corp., 2019 IL 123186, ¶ 40 ("[A]n individual need not allege some actual injury or adverse effect, beyond violation of his or her rights under the Act, in order to qualify as an "aggrieved" person and be entitled to seek liquidated damages and injunctive relief pursuant to the Act"). Nonetheless, Plaintiff has been aggrieved because he suffered an injury-in-

fact based on Defendant's violations of his legal rights. Defendant intentionally interfered with Plaintiff's right to possess and control his own sensitive biometric data. Additionally, Plaintiff suffered an invasion of a legally protected interest when Defendant secured his personal and private biometric data at a time when they had no right to do so, a gross invasion of his right to privacy. BIPA protects employees like Heard from this precise conduct. Defendant had no lawful right to secure this data or share it with third parties absent a specific legislative license to do so.

- 60. Plaintiff's biometric information is economically valuable, and such value will increase as the commercialization of biometrics continues to grow. As such, Plaintiff was not sufficiently compensated by Defendant for its retention and use of his and other similarly-situated employees' biometric data. Plaintiff would not have agreed to work for the hospitals for the compensation he received if he had known that Defendant would retain his biometric data indefinitely.
- 61. Plaintiff also suffered an informational injury because Defendant failed to provide him with information to which he was entitled by statute. Through BIPA, the Illinois legislature has created a right: an employee's right to receive certain information prior to an employer securing their highly personal, private and proprietary biometric data; and an injury not receiving this extremely critical information.
- 62. Plaintiff also suffered an injury in fact because Defendant improperly disseminated his biometric identifiers and/or biometric information to third parties that hosted the biometric data in their data centers, in violation of BIPA.
- 63. Pursuant to 740 ILCS 14/15(b), Plaintiff was entitled to receive certain information prior to Defendant securing his biometric data; namely, information advising him of the specific limited purpose(s) and length of time for which Defendant collects, stores, uses and disseminates

his private biometric data; information regarding Defendant's biometric retention policy; and, a written release allowing Defendant to collect, store, use, and disseminate his private biometric data. By depriving Plaintiff of this information, Defendant injured him. *Public Citizen v. U.S. Department of Justice*, 491 U.S. 440, 449 (1989); *Federal Election Commission v. Akins*, 524 U.S. 11 (1998).

- 64. Plaintiff has plausibly inferred actual and ongoing harm in the form of monetary damages for the value of the collection and retention of his biometric data; in the form of monetary damages by not obtaining additional compensation as a result of being denied access to material information about Defendant's policies and practices; in the form of the unauthorized disclosure of his confidential biometric data to third parties; in the form of interference with his right to control and possess his confidential biometric data; and, in the form of the continuous and ongoing exposure to substantial and irreversible loss of privacy.
- 65. As Plaintiff is not required to allege or prove actual damages in order to state a claim under BIPA, he seeks statutory damages under BIPA as compensation for the injuries caused by Defendant. *Rosenbach*, 2019 IL 123186, ¶ 40.

#### **CLASS ALLEGATIONS**

- 66. Pursuant to the Illinois Code of Civil Procedure, 735 ILCS 5/2-801, Plaintiff brings claims on his own behalf and as a representative of all other similarly-situated individuals pursuant to BIPA, 740 ILCS § 14/1, *et seq.*, to recover statutory penalties, prejudgment interest, attorneys' fees and costs, and other damages owed.
- 67. As discussed *supra*, Section 14/15(b) of BIPA prohibits a company from, among other things, collecting, capturing, purchasing, receiving through trade, or otherwise obtaining a person's or a customer's biometric identifiers or biometric information, unless it first (1) informs

the individual in writing that a biometric identifier or biometric information is being collected or stored; (2) informs the individual in writing of the specific purpose and length of time for which a biometric identifier or biometric information is being collected, stored, and used; *and* (3) receives a written release executed by the subject of the biometric identifier or biometric information. 740 ILCS § 14/15.

68. Plaintiff seeks class certification under the Illinois Code of Civil Procedure, 735 § ILCS 5/2-801 for the following class of similarly-situated individuals under BIPA:

All individuals working in the State of Illinois who had their fingerprints collected, captured, received, or otherwise obtained or disclosed by Defendant during the applicable statutory period.

- 69. This action is properly maintained as a class action under 735 ILCS § 5/2-801 because:
  - A. The class is so numerous that joinder of all members is impracticable;
  - B. There are questions of law or fact that are common to the class;
  - C. The claims of the Plaintiff are typical of the claims of the class; and,
  - D. The Plaintiff will fairly and adequately protect the interests of the class.

#### **Numerosity**

70. The total number of putative class members exceeds fifty (50) individuals. The exact number of class members can easily be determined from Becton's records.

#### Commonality

- 71. There is a well-defined commonality of interest in the substantial questions of law and fact concerning and affecting the Class in that Plaintiff and all members of the Class have been harmed by Defendant's failure to comply with BIPA. The common questions of law and fact include, but are not limited to the following:
  - A. Whether Defendant collected, captured or otherwise obtained Plaintiff's and the Class's biometric identifiers or biometric information;

- B. Whether Defendant properly informed Plaintiff and the Class of its purposes for collecting, using, storing and disseminating their biometric identifiers or biometric information;
- C. Whether Defendant obtained a written release (as defined in 740 ILCS § 14/10) to collect, use, store and disseminate Plaintiff's and the Class's biometric identifiers or biometric information;
- D. Whether Defendant has disclosed or re-disclosed Plaintiff's and the Class's biometric identifiers or biometric information;
- E. Whether Defendant has sold, leased, traded, or otherwise profited from Plaintiff's and the Class's biometric identifiers or biometric information;
- F. Whether Defendant developed a written policy, made available to the public, establishing a retention schedule and guidelines for permanently destroying biometric identifiers and biometric information when the initial purpose for collecting or obtaining such identifiers or information has been satisfied or within three years of its last interaction with the individual, whichever occurs first;
- G. Whether Defendant complies with any such written policy (if one exists);
- H. Whether Defendant used Plaintiff's and the Class's fingerprints to identify them:
- I. Whether Defendant's violations of BIPA have raised a material risk that Plaintiff's biometric data will be unlawfully accessed by third parties;
- J. Whether the violations of BIPA were committed negligently; and
- K. Whether the violations of BIPA were committed intentionally and/or recklessly.
- 72. Plaintiff anticipates that Defendant will raise defenses that are common to the class.

#### Adequacy

73. Plaintiff will fairly and adequately protect the interests of all members of the class, and there are no known conflicts of interest between Plaintiff and class members. Plaintiff, moreover, has retained experienced counsel that are competent in the prosecution of complex litigation and who have extensive experience acting as class counsel.

#### **Typicality**

- 74. The claims asserted by Plaintiff are typical of the class members he seeks to represent. Plaintiff has the same interests and suffers from the same unlawful practices as the class members.
- 75. Upon information and belief, there are no other class members who have an interest individually controlling the prosecution of his or her individual claims, especially in light of the relatively small value of each claim and the difficulties involved in bringing individual litigation against one's employer. However, if any such class member should become known, he or she can "opt out" of this action pursuant to 735 ILCS § 5/2-801.

#### **Predominance and Superiority**

- 76. The common questions identified above predominate over any individual issues, which will relate solely to the quantum of relief due to individual class members. A class action is superior to other available means for the fair and efficient adjudication of this controversy because individual joinder of the parties is impracticable. Class action treatment will allow a large number of similarly-situated persons to prosecute their common claims in a single forum simultaneously, efficiently and without the unnecessary duplication of effort and expense if these claims were brought individually. Moreover, as the damages suffered by each class member are relatively small in the sense pertinent to class action analysis, the expenses and burden of individual litigation would make it difficult for individual class members to vindicate their claims.
- 77. Additionally, important public interests will be served by addressing the matter as a class action. The cost to the court system and the public for the adjudication of individual litigation and claims would be substantially more than if claims are treated as a class action. Prosecution of separate actions by individual class members would create a risk of inconsistent

and varying adjudications, establish incompatible standards of conduct for Defendant and/or substantially impair or impede the ability of class members to protect their interests. The issues in this action can be decided by means of common, class-wide proof. In addition, if appropriate, the Court can and is empowered to fashion methods to efficiently manage this action as a class action.

#### FIRST CAUSE OF ACTION

#### Violation of 740 ILCS § 14/15(a): Failure to Institute, Maintain and Adhere to Publicly-Available Retention Schedule

- 78. Plaintiff incorporates the foregoing allegations as if fully set forth herein.
- 79. BIPA mandates that companies in possession of biometric data establish and maintain a satisfactory biometric data retention and, importantly, deletion policy. Specifically, those companies must: (i) make publicly available a written policy establishing a retention schedule and guidelines for permanent deletion of biometric data (at most three years after the company's last interaction with the individual); and (ii) actually adhere to that retention schedule and actually delete the biometric information. See 740 ILCS § 14/15(a).
  - 80. Defendant fails to comply with these BIPA mandates.
- 81. Defendant Becton is a corporation registered to do business in Illinois and thus qualifies as a "private entity" under BIPA. See 740 ILCS § 14/10.
- 82. Plaintiff and the Class are individuals who have had their "biometric identifiers" collected by Defendant (in the form of their fingerprints), as explained in detail in Sections II and III, *supra*. See 740 ILCS § 14/10.
- 83. Plaintiff's and the Class's biometric identifiers were used to identify them and, therefore, constitute "biometric information" as defined by BIPA. See 740 ILCS § 14/10.

- 84. Defendant failed to provide a publicly available retention schedule or guidelines for permanently destroying biometric identifiers and biometric information as specified by BIPA. See 740 ILCS § 14/15(a).
- 85. Upon information and belief, Defendant lacks retention schedules and guidelines for permanently destroying Plaintiff's and the Class's biometric data and have not and will not destroy Plaintiff's and the Class's biometric data when the initial purpose for collecting or obtaining such data has been satisfied or within three years of the individual's last interaction with the company.
- 86. On behalf of himself and the Class, Plaintiff seeks: (1) declaratory relief; (2) injunctive and equitable relief as is necessary to protect the interests of Plaintiff and the Class by requiring Defendant to comply with BIPA's requirements for the collection, storage, and use of biometric identifiers and biometric information as described herein; (3) statutory damages of \$5,000 for each intentional and/or reckless violation of BIPA pursuant to 740 ILCS 14/20(2) or, in the alternative, statutory damages of \$1,000 for each negligent violation of BIPA pursuant to 740 ILCS 14/20(1); and (4) reasonable attorneys' fees and costs and other litigation expenses pursuant to 740 ILCS 14/20(3).

#### SECOND CAUSE OF ACTION

#### Violation of 740 ILCS § 14/15(b): Failure to Obtain Informed Written Consent and Release Before Obtaining Biometric Identifiers or Information

- 87. Plaintiff incorporates the foregoing allegations as if fully set forth herein.
- 88. BIPA requires companies to obtain informed written consent from employees before acquiring their biometric data. Specifically, BIPA makes it unlawful for any private entity to "collect, capture, purchase, receive through trade, or otherwise obtain a person's or a customer's biometric identifiers or biometric information unless [the entity] first: (1) informs the subject...in

writing that a biometric identifier or biometric information is being collected or stored; (2) informs the subject...in writing of the specific purpose and length of term for which a biometric identifier or biometric information is being collected, stored, and used; *and* (3) receives a written release executed by the subject of the biometric identifier or biometric information..." 740 ILCS 14/15(b) (emphasis added).

- 89. Defendant fails to comply with these BIPA mandates.
- 90. Defendant Becton is a corporation registered to do business in Illinois and thus qualifies as a "private entity" under BIPA. See 740 ILCS § 14/10.
- 91. Plaintiff and the Class are individuals who have had their "biometric identifiers" collected by Defendant (in the form of their fingerprints), as explained in detail in Sections II and III, *supra*. See 740 ILCS § 14/10.
- 92. Plaintiff's and the Class's biometric identifiers were used to identify them and, therefore, constitute "biometric information" as defined by BIPA. See 740 ILCS § 14/10.
- 93. Defendant systematically and automatically collected, used, stored and disseminated Plaintiff's and the Class's biometric identifiers and/or biometric information without first obtaining the written release required by 740 ILCS 14/15(b)(3).
- 94. Defendant did not inform Plaintiff and the Class in writing that their biometric identifiers and/or biometric information were being collected, stored, used and disseminated, nor did Defendant inform Plaintiff and the Class in writing of the specific purpose(s) and length of term for which their biometric identifiers and/or biometric information were being collected, stored, used and disseminated as required by 740 ILCS 14/15(b)(1)-(2).
- 95. By collecting, storing, using and disseminating Plaintiff's and the Class's biometric identifiers and biometric information as described herein, Defendant violated Plaintiff's and the

Class's rights to privacy in their biometric identifiers and/or biometric information as set forth in BIPA. See 740 ILCS 14/1, et seq.

96. On behalf of himself and the Class, Plaintiff seeks: (1) declaratory relief; (2) injunctive and equitable relief as is necessary to protect the interests of Plaintiff and the Class by requiring Defendant to comply with BIPA's requirements for the collection, storage, use and dissemination of biometric identifiers and biometric information as described herein; (3) statutory damages of \$5,000 for each intentional and/or reckless violation of BIPA pursuant to 740 ILCS 14/20(2) or, in the alternative, statutory damages of \$1,000 for each negligent violation of BIPA pursuant to 740 ILCS 14/20(1); and (4) reasonable attorneys' fees and costs and other litigation expenses pursuant to 740 ILCS 14/20(3).

# THIRD CAUSE OF ACTION Violation of 740 ILCS § 14/15(d): Disclosure of Biometric Identifiers and Information Before Obtaining Consent

- 97. Plaintiff incorporates the foregoing allegations as if fully set forth herein.
- 98. BIPA prohibits private entities from disclosing a person's or customer's biometric identifier or biometric information without first obtaining consent for that disclosure. *See* 740 ILCS 14/15(d)(1).
  - 99. Defendant fails to comply with this BIPA mandate.
- 100. Defendant Becton is a corporation registered to do business in Illinois and thus qualifies as a "private entity" under BIPA. See 740 ILCS § 14/10.
- 101. Plaintiff and the Class are individuals who have had their "biometric identifiers" collected by Defendant (in the form of their fingerprints), as explained in detail in Sections II and III, *supra*. See 740 ILCS § 14/10.

- 102. Plaintiff's and the Class's biometric identifiers were used to identify them and, therefore, constitute "biometric information" as defined by BIPA. See 740 ILCS § 14/10.
- 103. Defendant systematically and automatically disclosed, redisclosed, or otherwise disseminated Plaintiff's and the Class's biometric identifiers and/or biometric information without first obtaining the consent required by 740 ILCS 14/15(d)(1).
- 104. By disclosing, redisclosing, or otherwise disseminating Plaintiff's and the Class's biometric identifiers and biometric information as described herein, Defendant violated Plaintiff's and the Class's rights to privacy in their biometric identifiers and/or biometric information as set forth in BIPA. See 740 ILCS 14/1, et seq.
- 105. On behalf of himself and the Class, Plaintiff seeks: (1) declaratory relief; (2) injunctive and equitable relief as is necessary to protect the interests of Plaintiff and the Class by requiring Defendant to comply with BIPA's requirements for the collection, storage, use and dissemination of biometric identifiers and biometric information as described herein; (3) statutory damages of \$5,000 for each intentional and/or reckless violation of BIPA pursuant to 740 ILCS § 14/20(2) or, in the alternative, statutory damages of \$1,000 for each negligent violation of BIPA pursuant to 740 ILCS § 14/20(1); and (4) reasonable attorneys' fees and costs and other litigation expenses pursuant to 740 ILCS § 14/20(3).

#### PRAYER FOR RELIEF

Wherefore, Plaintiff Corey Heard respectfully requests that this Court enter an Order:

- A. Certifying this case as a class action on behalf of the Class defined above, appointing Plaintiff Corey Heard as Class Representative, and appointing Stephan Zouras, LLP, as Class Counsel;
- B. Declaring that Defendant's actions, as set forth above, violate BIPA;
- C. Awarding statutory damages of \$5,000 for each intentional and/or reckless violation of BIPA pursuant to 740 ILCS § 14/20(2) or, in the alternative, statutory

- damages of \$1,000 for each negligent violation of BIPA pursuant to 740 ILCS § 14/20(1);
- Declaring that Defendant's actions, as set forth above, were intentional or reckless; D.
- Awarding injunctive and other equitable relief as is necessary to protect the E. interests of Plaintiff and the Class, including an Order requiring Defendant to collect, store, use, destroy and disseminate biometric identifiers and/or biometric information in compliance with BIPA;
- $F_{r}$ Awarding Plaintiff and the Class their reasonable attorneys' fees and costs and other litigation expenses pursuant to 740 ILCS § 14/20(3);
- Awarding Plaintiff and the Class pre- and post-judgment interest, to the extent G. allowable: and,
- Awarding such other and further relief as equity and justice may require. H.

#### JURY TRIAL

Plaintiff demands a trial by jury for all issues so triable.

Date: May 24, 2019

Respectfully Submitted,

/s/ James B. Zouras

Ryan F. Stephan Catherine T. Mitchell

Haley R. Jenkins

STEPHAN ZOURAS, LLP

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Firm ID: 43734

ATTORNEYS FOR PLAINTIFF

#### **CERTIFICATE OF SERVICE**

I, the attorney, hereby certify that on May 24, 2019, I filed the attached with the Clerk of the Court using the electronic filing system which will send such filing to all attorneys of record.

/s/ James B. Zouras

Return Date: No return date scheduled Hearing Date: 9/23/2019 9:30 AM - 9:30 AM

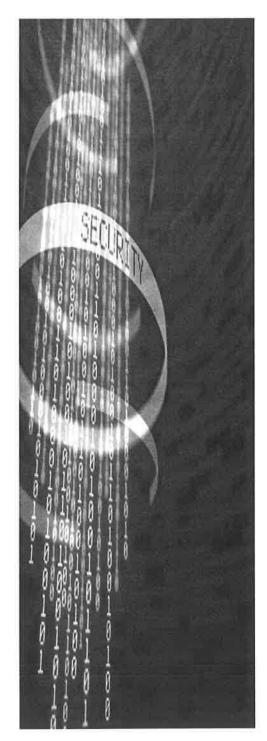
Courtroom Number: 2408 Location: District 1 Court Cook County, IL

2019CH06434

FILED 5/24/2019 2:37 PM DOROTHY BROWN CIRCUIT CLERK COOK COUNTY, IL 2019CH06434

5186820

# Exhibit A





Not Just Security, the Right Security.

#### **Data Breach QuickView Report**

Data Breach Trends - First Six Months of 2017

Sponsored by: Risk Based Security Issued in July 2017

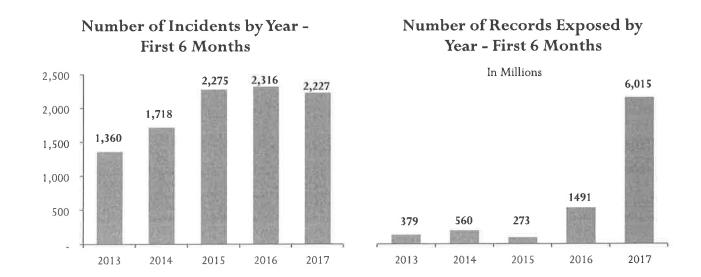
## Mega breaches continue while pace of disclosure shows signs of slowing

- There were 2,227 breaches reported in the first half of 2017, exposing over **6 billion** records.
- Top 10 breaches exposed 5.6 billion of the 6 billion records compromised.
- Top 10 Severity scores averaged 9.82 out of 10.0.
- The Business sector accounted for 56.5% of reported breaches, followed by Unknown (17%), Government (9.1%), Medical (9%), and Education (8.4%).
- The Business sector accounted for 93% of the total records exposed, followed by Government and Unknown (approximately 3% for each). Medical and Education sectors combined accounted for less than 1% of the total records exposed year to date.
- Web (inadvertent online disclosure) continues to be the leading cause of records compromised in 2017, accounting for 68.3% of records exposed, but only 7.1% of incidents reported so far this year.
- 41.6% of reported breaches were the result of Hacking, yet accounted for 30.6% of the exposed records.
- Breaches involving U.S. entities accounted for 61% of the breaches and approximately 30% of the exposed records.
- 29.3% of the breaches exposed between one and 1,000 records, 43.6% of breaches exposed between one and 10,000 records virtually unchanged from Q12017.
- 121 breaches, or 5.4%, affected Third Parties.
- Fifty (50) breaches 19 in Q2 and 31 in Q1 exposed one million or more records.
- Four 2017 breaches are now on the Top 10 List of All Time Largest Breaches.
- The company DU Called, replaced River City Media for the top spot of the single largest breach disclosed, impacting 2 billion records.

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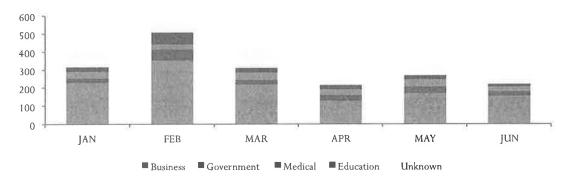
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#### Mid-Year 2017 Compared to Mid-Year of the Previous Four Years

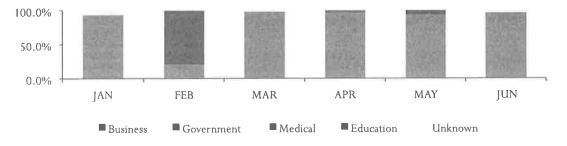


#### Mid-Year 2017 by Industry, by Month

#### Distribution of Incidents by Industry, by Month

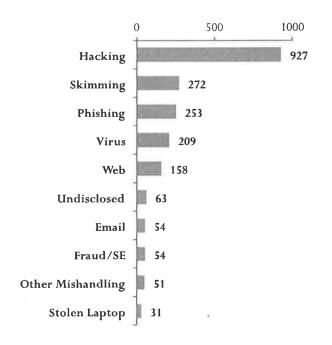


#### Distribution of Exposed Records by Industry, by Month



#### Mid-Year 2017 Analysis by Breach Type

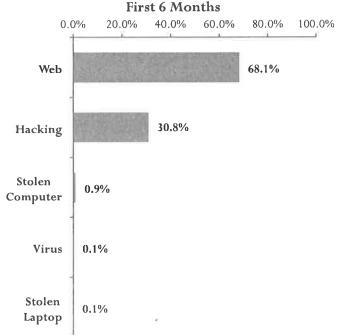
Top 10 Breach Types - First 6 Months



The number of phishing incidents started to decline once the U.S. tax season came to a close.

Despite being the leading cause of records exposed, Web (inadvertent online disclosure) ranked fifth on number of incidents.

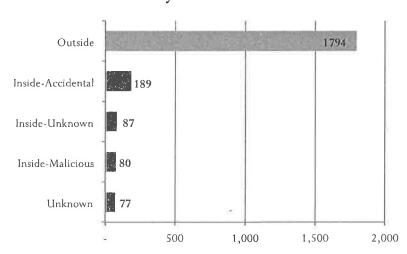
Top 5 Breach Types by Records Exposed



While not making the top 5 list very often, a Stolen Computer from the COMELEC (Philippines Election Commission) offices resulted in 55.1 million voter records exposed.

#### Mid-Year 2017 Data Breach Analysis by Threat Vector

## Number of Incidents by Threat Vector



16.0% of incidents were the result of insider activity, up slightly from 12.1% of incidents reported in Q12017.

#### Mid-Year 2017 Exposed Records by Threat Vector

Threat Vector	Records Exposed
Outside	2,227,842,612
Inside-Unknown	2,001,248,057
Inside-Accidental	1,739,943,232
Unknown	45,540,090
Inside-Malicious	567,571
Total	6,015,141,562

A single insider incident exposed Two billion records.

#### Mid-Year 2017 - Breach Discovery Method

	Internal Discovery - Incidents	Internal Discovery - Records	External Discovery - Incidents	External Discovery - Records	Undisclosed Discovery - Incidents	Undisclosed Discovery - Records
Q1	221	65,173,264	783	3,345,957,501	376	17,670,845
Q2	222	2,966,956	314	486,285,236	311	2,097,077,760
YTD	443	68,140,220	1,097	3,832,242,737	687	2,114,748,605

#### Mid-Year 2017 Top 10 Breaches Data Types and Severity Scores<sup>1</sup>

Breach Type	Records Exposed	Percentage of Total Exposed	Data Type <sup>2</sup>	Severity Score
Web	2,000,000,000	33.2%	ADD/NAA/NUM	10.0
Web	1,374,159,612	22.8%	ADD/EMA/FIN/MISC/NAA	10.0
Hack	1,221,893,767	20.3%	EMA/PWD	10.0
Web	267,693,854	4.5%	EMA/NUM	9.80
Web	198,000,000	3.3%	ADD/DOB/MISC/NAA/NUM	10.0
Web	135,000,000	2.2%	ADD/FIN/MISC/NAA/NUM/SSN	9.68
Hack	129,696,449	2.2%	EMA/PWD	9.71
Hack	126,761,168	2.1%	ADD/NAA/NUM	9.40
Hack	91,890,110	1.5%	EMA/PWD/USR	9.56
Hack	77,000,000	1.3%	EMA/PWD/USR	9.96

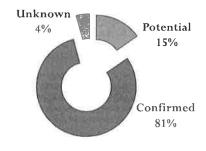
The top 10 breaches exposed 5,622,094,960 records, or 93.4% of the total records exposed in the first 6 months

#### Mid-Year 2017 Analysis by Data Family

		Percentage of		Percentage of
	Percentage of	Total Exposed	Percentage of	Total Exposed
W.	Total Breaches	Records	Total Breaches	Records
Data Family	Mid-Year 2016	Mid-Year 2016	Mid-Year 2017	Mid-Year 2017
Electronic	90.18%	99.98%	93.22%	99.98%
Physical	6.75%	<1%	4.62%	<1%
Unknown	3.07%	<1%	2.16%	<1%

#### Mid-Year 2017 Confidentiality Impact

#### **Confidentality Impact**



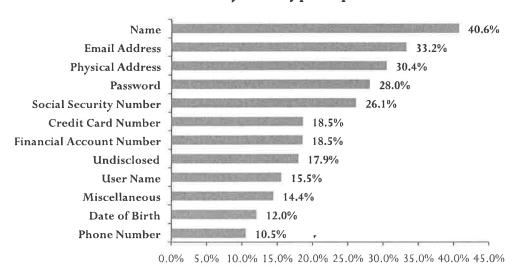
The majority of breaches continue to result in confirmed unauthorized access to sensitive data

<sup>1</sup> See page 13 for additional detail on these incidents.

<sup>&</sup>lt;sup>2</sup> See page 17 for a description of abbreviations.

#### Mid-Year 2017 Analysis by Data Type - Percentage of Breaches

#### Incidents by DataType Exposed



Compared to the same time period in 2016, the percentage of breaches impacting Social Security numbers increased from 17.6% in 2016 to 26.1% in 2017. Likewise, the percentage of breaches impacting Names increased from 36.1% to 40.6% and the percentage impacting physical addresses increased from 21.6% to 30.4%. Research indicates this effect is attributable to the steady rise of successful phishing campaigns targeting W-2 data during the first 4 months of the year.

#### Percentage of Breaches Exposing Data Types YTD 2017 vs. Prior Years

Data Type	First 6 Months 2017	First 6 Months 2016	First 6 Months 2015
Name	40.6%	36.1%	27.8%
Email Address	33.2%	42.9%	45.5%
Physical Address	30.4%	21.6%	12.3%
Password	28%	39.8%	52.2%

The "W-2 phishing effect" is more evident when comparing the percentage of breaches impacting 2017's top four data types over time. Access credentials in the form of username / email address and password remain popular targets, but the overall number of breaches impacting these records has steadily declined during the first half of 2017 as attention turns to data more directly useful for tax fraud.

#### Mid-Year 2017 Analysis of Records per Breach

Exposed Records	Number of Breaches	Percent of Total
Unknown/Undisclosed	1024	46.0%
1 to 100	317	14.2%
101 to 1,000	336	15.1%
1,001 to 10,000	320	14.4%
10,001 to 100,000	132	5.9%
100,001 to 500,000	36	1.6%
500,001 to 999,999	12	0.5%
1 M to 10 M	30	1.3%
> 10 M	20	0.9%

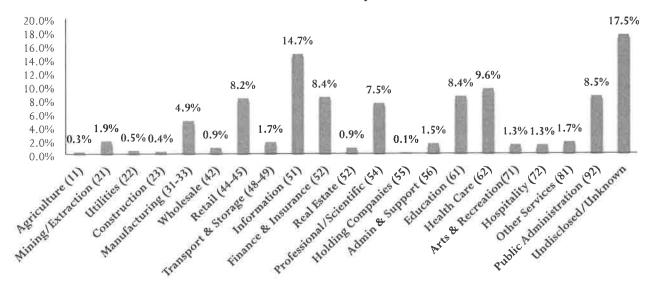
For the third year in a row, the number of incidents with exposed records either unknown or unreported increased. At this point in 2015, it was 27.6%; in 2016, it was 35.4%.

Mid-Year 2017 Breach Types/Records Exposed - Top 5

Breach Category	Number of Breaches	Number of Records Exposed	Average Records per Breach	Percent of Total Records Exposed
Hacking	927	1,839,750,699	1,984,629	30.59%
Skimming	272	4,874	18	0.00%
Phishing	253	458,964	1,814	0.01%
Virus/Malware	209	6,918,120	33,101	0.12%
Web	158	4,069,836,698	25,758,460	67.67%

#### Mid-Year 2017 Analysis of Incidents by NAICS Economic Sector

#### Distribution of Incidents by Economic Sector



#### Distribtuion of Business Groups Within Economic Sectors - Top 3

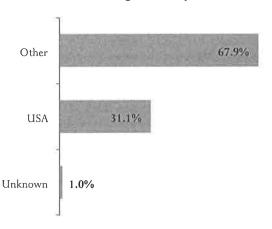
Economic Sector	Business Group	Percentage of Breaches Within Economic Sector
	Software / Web Services	79.9%
Information (51)	Mass Media	11.2%
	Telecommunications	7.3%
	Non-Hospital Facilities	33.3%
HealthCare (62)	Hospitals	29.5%
	Practitioner Offices	29.5%
	Federal	33.8%
Public Sector (92)	State	20.6%
	Cities	19.5%

#### Mid-Year 2017 Analysis by Country

#### **Incidents by Location**

# USA 23.6% Unknown 15.0%

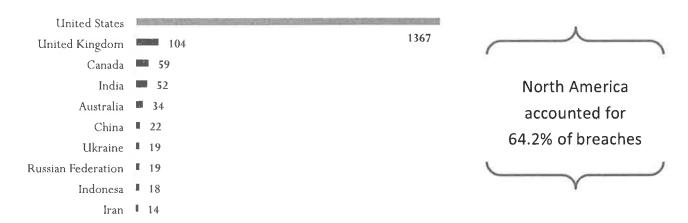
#### **Records Exposed by Location**



The Top 10 countries accounted for 1,708, or 76.6% of the breaches reported and 97.7% of the records compromised.

#### Mid-Year 2017 Analysis by Country - Top 10

#### **Incidents by Country - Top 10**

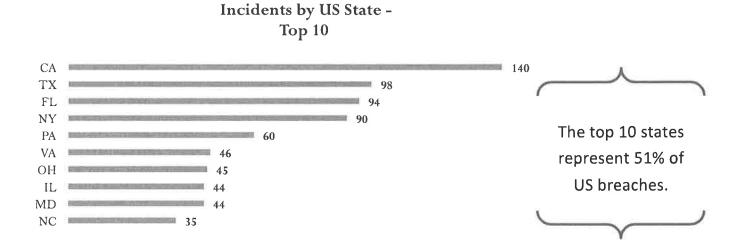


#### Mid-Year 2017 Exposed Records by Country

Ranking	Number of Breaches	Country	Total Exposed Records	Average Records per Breach	Median Number of Records	Percentage of Exposed Records
1	22	China	3,822,024,257	173,728,375	3,371,754	48.83%
2	1367	United States	3,746,193,334	2,740,449	1,700	47.86%
3	52	India	179,055,018	3,443,366	308	2.29%
4	2	Philippines	55,254,020	27,627,010	5 <b>7</b> 5	0.71%
5	7	Hong Kong	12,041,792	1,720,256	1,890,876	0.15%
6	4	South Africa	6,700,000	1,675,000		0.09%
7	104	United Kingdom	2,401,829	23,095	669	0.03%
8	59	Canada	2,107,262	35,716	503	0.03%
9	2	Finland	1,100,023	550,012	3	0.01%
10	7	Japan	722,096	103,157	121	0.01%

Large breaches affecting 1,000,000 or more records heavily influences the average number of records lost in certain countries. The median number of records lost in the five countries reporting the most breaches ranges between 308 and 1,700, with Australia coming in at 872.

## Mid-Year 2017 Distribution of Breaches By State

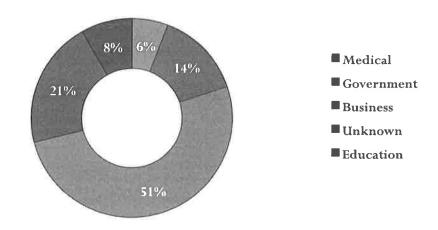


### Mid-Year 2017 Analysis of US State Rankings- Exposed Records

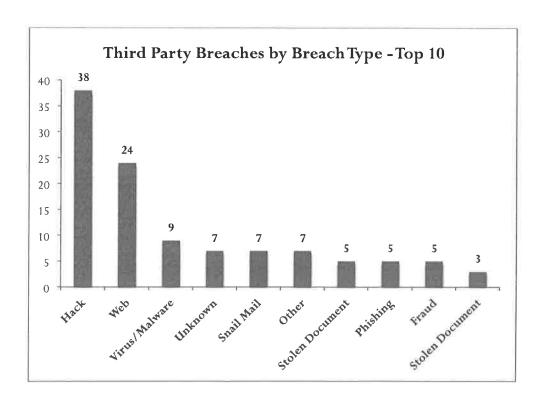
Exposed Records Ranking	US State	Total Exposed Records	Number of Breaches	Exposed Records/Breach	Percentage of USA Exposed Records
1	WA	1,375,336,881	27	50,938,403	73.42%
2	NJ	33,724,579	29	1,162,917	1.31%
3	CA	10,690,370	140	76,360	0.31%
4	NY	8,163,474	90	90,705	0.19%
5	AR	4,890,000	7	698,571	0.16%
6	TX	4,777,984	98	48,755	0.15%
7	GA	3,798,732	23	165,162	0.10%
8	MD	2,674,211	44	60,778	0.09%
9	MI	2,426,296	22	110,286	0.07%
10	FL	1,519,843	94	16,169	0.02%

## Mid-Year 2017 Breaches Involving Third Parties

## Third Party Breaches by Business Type



- Organizations classified in the business sector account for more than 50% of the breaches impacting data belonging to customers, clients or other 3<sup>rd</sup> parties.
- Three of the largest breaches reported in the first six months impacted 3<sup>rd</sup> parties.
- Hacking remains the dominant breach type for incidents impacting 3<sup>rd</sup> Parties, with regard to both the number of breaches and the number of records compromised.

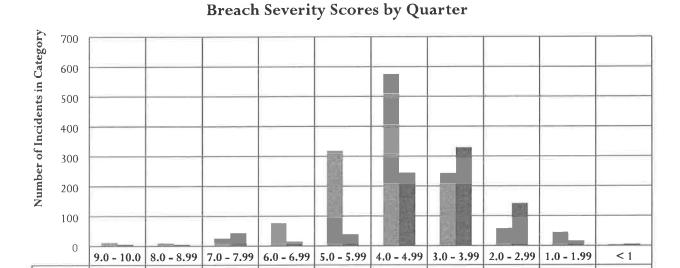


■1Q2017

■2Q2017

### Mid-Year 2017 - Breach Severity Scores & Scoring

We can all readily agree that not all data breaches are created equal. Where disagreement arises is when we attempt to rate the 'severity' or 'impact' of a breach. At Risk Based Security we have combined our knowledge of the security industry, business experience and our comprehensive data breach information to calculate a Data Breach Severity Score.



On a positive note, breach severity scores declined in the second quarter of 2107. 58.2% of breaches reported in Q2 scored 3 or below while 25.7% of Q1 reported breaches scored 3 or below.

## Mid-Year 2017 - Breach Severity Scores - Top 10

Score	Reported	Organization	Top 10 Summary
10	Q2	DU Group dba DU Caller	(Web) 2,000,000,000 user phone numbers, names and addresses inappropriately made accessible in an uncensored public directory
10	Q1	NetEase, Inc. dba 163.com	(Hacking) 1,221,893,767 email addresses and passwords stolen by hackers and sold on the Dark Web by DoubleFlag
10	Q1	River City Media, LLC	(Web) 1,374,159,612 names, addresses, IP addresses, and email addresses, as well as an undisclosed number of financial documents, chat logs, and backups exposed by faulty rsync backup
10	Q2	Deep Root Analytics	(Web) Approximately 198,000,000 voter names, addresses, dates of birth, phone numbers, political party affiliations, and other demographic information exposed in an unsecured Amazon S3 bucket

Score	Reported	Organization	Top 10 Summary
9.96	Q2	Edmodo	(Hacking) 77,000,000 user email addresses, usernames, and bcrypt hashed passwords with salts stolen by hackers through undisclosed means
9.80	Q1	EmailCar	(Web) 267,693,854 email addresses and phone numbers exposed in an unsecure MongoDB installation and later dumped on the Internet
9.71	Q1	Tencent Holdings Ltd dba QQ.com	(Hacking) 129,696,449 email addresses and passwords stolen by hackers and sold on the Dark Web by DoubleFlag
9.68	Q2	National Social Assistance Programme (India)	(Web) Roughly 135,000,000 Aadhaar numbers and 100,000,000 linked bank account numbers, as well as names, caste, religion, addresses, phone numbers, photographs, and assorted financial details leaked on government web portals
9.56	Q2	Youku	(Hacking) 91,890,110 user accounts with usernames, email addresses and MD5 encrypted passwords compromised by hackers and offered for sale
9.45	Q1	Yahoo Japan	(Hacking) 23,590,165 email addresses and passwords stolen by hackers and sold on the Dark Web by DoubleFlag

Top 20 Largest Breaches All Time (Exposed Records Count)

Breach Reported Date	Summary	Records Exposed	Organization's Name	Industry- Sector	Breach Location
Highest All Time 5/13/2017	User phone numbers, names and addresses inappropriately made accessible in an uncensored public directory	2 Billion	DU Caller Group (DU Caller)	Business - Technology	China
Number 2 3/3/2017	Names, addresses, IP addresses, and email addresses, as well as an undisclosed number of financial documents, chat logs, and backups, exposed by faulty rsync backup.	1.3 Billion	River City Media, LLC	Business - Technology	United States
Number 3 1/25/2017	A database holding email addresses and passwords stolen by hackers and offered for sale on the dark web.	1.2 Billion	NetEase, Inc. dba 163.com	Business – Technology	China

Breach Reported Date	Summary	Records Exposed	Organization's Name	Industry- Sector	Breach Location
Number 4 12/14/2016	While investigating the #4 incident on this list, a second hacking event was discovered targeting user names, email addresses, phone numbers, dates of birth, hashed passwords and security questions and associated answers.	1 Billion	Yahoo	Business - Technology	United States
Number 5 9/22/2016	Hack exposes user names, email addresses, phone numbers, dates of birth, hashed passwords and security questions and associated answers.	500 Million	Yahoo	Business - Technology	United States
Number 6 10/18/2016	Hackers exploit a Local File Inclusion vulnerability, compromising member email addresses, usernames, and encrypted passwords, IP addresses and membership statuses.	412 Million	FriendFinder Networks, Inc	Business - Technology	United States
Number 7 5/27/2016	Hack exposes user account records containing SHA1 encrypted passwords, email addresses.	360 Million	MySpace	Business - Technology	United States
Number 8 1/1/2017	Email addresses and phone numbers were exposed in an unsecure MongoDB installation, which was later downloaded and dumped on the Internet	267 Million	EmailCar	Business - Technology	China
Number 9 8/22/2014	Hack of websites exposes names, registration numbers, usernames and passwords.	220 Million	Organization's Name has not been reported	Unknown	South Kore
Number 10 12/3/2016	Hackers offer for sale a database containing a variety of personal and financial details.	203 Million	Organization's Name has not been reported	Unknown	Unknown
Number 11 10/19/2013	Fraudulent account used to gain access to credit card numbers, social security numbers, names, and financial account numbers.	200 Million	Court Ventures, Inc.	Business - Data	United States
Number 12 6/19/2017	Unsecured Amazon S3 bucket exposes voter names, addresses, dates of birth, contact information and voter preferences.	198 Million	Deep Root Analytics	Business / Business	United States

Breach Reported Date	Summary	Records Exposed	Organization's Name	Industry- Sector	Breach Location
Number 13 12/28/2015	Mis-configured database exposes voter names, dates of birth, addresses, phone numbers, political party affiliations, and genders.	191 Million	Organization's Name has not been reported	Unknown	United States
Number 14 6/21/2014	Hack exposes trip details of customers after cracking MD5 hashes	173 Million	NYC Taxi & Limousine Commission	Government - City	United States
Number 15 6/23/2016	Hack exposes USA voter information.	154 Million	Organization's Name has not been reported	Unknown	United States
Number 16 10/3/2013	Hack exposed customer names, IDs, encrypted passwords and debit/ credit card numbers with expiration dates, source code and other customer order information.	152 Million	Adobe Systems, Inc.	Business - Technology	United States
Number 17 3/17/2012	Firm may have illegally bought and sold customers' information.	150 Million	Shanghai Roadway D&B Marketing Services Co.	Business - Data	China
Number 18 5/21/2014	Hack exposes names, encrypted passwords, email addresses, registered addresses, phone numbers and dates of birth.	145 Million	eBay, Inc.	Business - Retail	United States
Number 19 6/8/2013	North Korean Hackers expose email addresses and identification numbers.	140 Million	Organization's Name has not been reported	Unknown	South Kore
Number <b>20</b> 5/2/2017	Leaky governmental websites expose Aadhaar numbers, banking details, names and other personal information.	135 Million	National Social Assistance Programme	Government - Federal	India

#### Methodology & Terms

Risk Based Security's research methods include automated processes coupled with traditional human research and analysis. Our proprietary applications crawl the Internet 24x7 to capture and aggregate potential data breach breaches for our researchers to analyze. In addition, the research team manually verifies news feeds, blogs, and other sources looking for new data breaches as well as new information on previously disclosed incidents. The database also includes information obtained through Freedom of Information Act (FOIA) requests, seeking breach notification documentation from various state and federal agencies in the United States. The research team extends our heartfelt thanks to the individuals and agencies that assist with fulfilling our requests for information.

#### Data Standards and the use of "Unknown"

In order for any data point to be associated with a breach entry, Risk Based Security requires a high degree of confidence in the accuracy of the information reported as well as the ability to reference a public source for the information. In short, the research team does not guess at the facts. For this reason the term "Unknown" is used when the item cannot be verified in accordance with our data validation requirements. This can occur when the breached organization cannot be identified but leaked data is confirmed to be valid or when the breached organization is unwilling or unable to provide sufficient clarity to the data point.

#### Breach Types are defined as follows:

Name	Description
Disposal Computer	Discovery of computers not disposed of properly
Disposal Document	Discovery of documents not disposed of properly
Disposal Drive	Discovery of disk drives not disposed of properly
Disposal Mobile	Discovery of mobile devices not disposed of properly
Disposal Tape	Discovery of backup tapes not disposed of properly
Email	Email communication exposed to unintended third party
Fax	Fax communication exposed to unintended third party
Fraud SE	Fraud or scam (usually insider-related), social engineering
Hack	Computer-based intrusion
Lost Computer	Lost computer (unspecified type in media reports)
Lost Document	Discovery of documents not disposed of properly, not stolen
Lost Drive	Lost data drive (unspecified if IDE, SCSI, thumb drive, etc.)
Lost Laptop	Lost laptop (generally specified as a laptop in media reports)
Lost Media	Media (e.g. disks) reported to have been lost by a third party
Lost Mobile	Lost mobile phone or device such as tablets, etc.
Lost Tape	Lost backup tapes
Missing Document	Missing document, unknown or disputed whether lost or stolen
Missing Drive	Missing drive, unknown or disputed whether lost or stolen
Missing Laptop	Missing laptop, unknown or disputed whether lost or stolen
Missing Media	Missing media, unknown or disputed whether lost or stolen
Other	Miscellaneous breach type not yet categorized
Phishing	Masquerading as a trusted entity in an electronic communication to obtain data
Seizure	Forcible taking of property by a government law enforcement official
Skimming	Using electronic device (skimmer) to swipe victims' credit/debit card numbers
Snail Mail	Personal information in "snail mail" exposed to unintended third party
Snooping	Exceeding intended privileges and accessing data not authorized to view
Stolen Computer	Stolen desktop (or unspecified computer type in media reports)
Stolen Document	Documents either reported or known to have been stolen by a third party
Stolen Drive	Stolen data drive, unspecified if IDE, SCSI, thumb drive, etc.
Stolen Laptop	Stolen Laptop (generally specified as a laptop in media reports)
Stolen Media	Media generally reported or known to have been stolen by a third party

Name	Description
Stolen Mobile	Stolen mobile phone or device such as tablets, etc.
Stolen Tape	Stolen backup tapes
Unknown	Unknown or unreported breach type
Virus (Malware)	Exposure to personal information via virus or Trojan (possibly classified as hack)
Web	Web-based intrusion, data exposed to the public via search engines, public pages

#### **Data Type Definitions**

Abbreviation	Description
CCN	Credit Card Numbers
SSN	Social Security Numbers (or Non-US Equivalent)
NAA	Names
EMA	Email Addresses
MISC	Miscellaneous
MED	Medical
ACC	Account Information
DOB	Date of Birth
FIN	Financial Information
UNK	Unknown
PWD	Passwords
ADD	Addresses
USR	User Name
NUM	Phone Number
IP	Intellectual Property

#### NO WARRANTY.

Risk Based Security, Inc. makes this report available on an "As-is" basis and offers no warranty as to its accuracy, completeness or that it includes all the latest data breach breaches. The information contained in this report is general in nature and should not be used to address specific security issues. Opinions and conclusions presented reflect judgment at the time of publication and are subject to change without notice. Any use of the information contained in this report is solely at the risk of the user. Risk Based Security, Inc. assumes no responsibility for errors, omissions, or damages resulting from the use of or reliance on the information herein. If you have specific security concerns please contact Risk Based security, Inc. for more detailed data loss analysis and security consulting services.

## **About Risk Based Security**

Risk Based Security (RBS) provides detailed information and analysis on Data Breaches, Vendor Risk Scores and Vulnerability Intelligence. Our products, <u>Cyber Risk Analytics (CRA)</u> and <u>VulnDB</u>, provide organizations with access to the most comprehensive threat intelligence knowledge bases available, including advanced search capabilities, access to raw data via API, and email alerting to assist organizations in taking the right actions in a timely manner. In addition, our YourCISO offering provides organizations with on-demand access to high quality security and information risk management resources in one, easy to use web portal.

<u>VulnDB</u> is the most comprehensive and timely vulnerability intelligence available and provides actionable information about the latest in security vulnerabilities via an easy-to-use SaaS Portal, or a RESTful API for easy integration into GRC tools and ticketing systems. VulnDB allows organizations to search on and be alerted to the latest vulnerabilities, both in end-user software and the third-party libraries or dependencies that help build applications. A subscription to VulnDB provides organizations with simple to understand ratings and metrics on their vendors and products, and how each contributes to the organization's risk-profile and cost of ownership.

Cyber Risk Analytics (CRA) provides actionable security ratings and threat intelligence on a wide variety of organizations. This enables organizations to reduce exposure to the threats most likely to impact them and their vendor base. In addition, our PreBreach vendor risk rating, the result of a deep-view into the metrics driving cyber exposures, are used to better understand the digital hygiene of an organization and the likelihood of a future data breach. The integration of PreBreach ratings into security processes, vendor management programs, cyber insurance processes and risk management tools allows organizations to avoid costly risk assessments, while enabling businesses to understand its risk posture, act quickly and appropriately to proactively protect its most critical information assets.

YourCISO provides organizations with on-demand access to high quality security and information risk management resources in one, easy to use web portal. YourCISO provides organization ready access to a senior executives and highly skilled technical security experts with a proven track record, matched specifically to your needs. The YourCISO service is designed to be an affordable long term solution for addressing information security risks. YourCISO brings together all the elements an organization needs to develop, document and manage a comprehensive information security program.

For more information, please visit:

https://www.riskbasedsecurity.com/

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https://www.yourciso.com/

Or call 855-RBS-RISK.

FILED DATE: 5/29/2019 11:02 AM 2019CH06434

Return Date: No return date scheduled Hearing Date: No hearing scheduled Courtroom Number: No hearing scheduled

Location: No hearing scheduled

**FILED** 5/29/2019 11:02 AM DOROTHY BROWN CIRCUIT CLERK COOK COUNTY, IL 2019CH06434

5214042

2120 - Served

2121 - Served

2220 - Not Served

2221 - Not Served

2320 - Served By Mail

2321 - Served By Mail

2420 - Served By Publication 2421 - Served By Publication

**Summons - Alias Summons** 

(08/01/18) CCG 0001 A

#### IN THE CIRCUIT COURT OF COOK COUNTY, ILLINOIS

Corey Heard		
(Name all parties) v.	Case No.	2019-CH-06434
Becton, Dickinson & Company		

✓ SUMMONS
□ ALIAS SUMMONS

To each Defendant:

YOU ARE SUMMONED and required to file an answer to the complaint in this case, a copy of which is hereto attached, or otherwise file your appearance and pay the required fee within thirty (30) days after service of this Summons, not counting the day of service. To file your answer or appearance you need access to the internet. Please visit www.cookcountyclerkofcourt.org to initiate this process. Kiosks with internet access are available at all Clerk's Office locations. Please refer to the last page of this document for location information.

If you fail to do so, a judgment by default may be entered against you for the relief requested in the complaint.

To the Officer:

This Summons must be returned by the officer or other person to whom it was given for service, with endorsement of service and fees, if any, immediately after service. If service cannot be made, this Summons shall be returned so endorsed. This Summons may not be served later than thirty (30) days after its date.

#### Summons - Alias Summons

(08/01/18) CCG 0001 B

E-filing is now mandatory for documents in civil cases with limited exemptions. To e-file, you must first create an account with an e-filing service provider. Visit http://efile.illinoiscourts.gov/service-providers.htm to learn more and to select a service provider. If you need additional help or have trouble e-filing, visit http:// www.illinoiscourts.gov/FAQ/gethelp.asp, or talk with your local circuit clerk's office.

Atty. No.: 43734	Witness:
Atty Name: <u>James B. Zouras (Stephan Zouras)</u>	5/29/2019 11:02 AM DOROTHY BROWN
Atty. for: Corey Heard  Address: 100 N. Riverside Plaza	DOROTHY BROWN, Clerk of Court
City: Chicago	Date of Service: (To be inserted by officer on copy left with
State: <u>IL</u> Zip: <u>60606</u> Telephone: <u>312-233-1550</u>	Defendant or other person):
Primary Email: jzouras@stephanzouras.com	Con the same of th

#### CLERK OF THE CIRCUIT COURT OF COOK COUNTY OFFICE LOCATIONS

- Richard J Daley Center 50 W Washington Chicago, IL 60602
- ( ) District 2 - Skokie 5600 Old Orchard Rd Skokie, IL 60077
- District 3 Rolling Meadows 2121 Euclid Rolling Meadows, IL 60008
- District 4 Maywood 1500 Maybrook Ave Maywood, IL 60153
- District 5 Bridgeview 10220 S 76th Ave Bridgeview, IL 60455
- ( District 6 - Markham 16501 S Kedzie Pkwy Markham, IL 60428
- Ü Domestic Violence Court 555 W Harrison Chicago, IL 60607
- Juvenile Center Building 2245 W Ogden Ave, Rm 13 Chicago, IL 60602
- Criminal Court Building 2650 S California Ave, Rm 526 Chicago, IL 60608

#### Daley Center Divisions/Departments

- Civil Division Richard J Daley Center 50 W Washington, Rm 601 Chicago, IL 60602 Hours: 8:30 am - 4:30 pm
- Chancery Division Richard J Daley Center 50 W Washington, Rm 802 Chicago, IL 60602 Hours: 8:30 am - 4:30 pm

- Domestic Relations Division Richard J Daley Center 50 W Washington, Rm 802 Chicago, IL 60602 Hours: 8:30 am - 4:30 pm
- Civil Appeals Richard J Daley Center 50 W Washington, Rm 801 Chicago, IL 60602 Hours: 8:30 am - 4:30 pm
- Criminal Department Richard J Daley Center 50 W Washington, Rm 1006 Chicago, IL 60602 Hours: 8:30 am - 4:30 pm
- County Division Richard J Daley Center 50 W Washington, Rm 1202 Chicago, IL 60602 Hours: 8:30 am - 4:30 pm
- Probate Division Richard J Daley Center 50 W Washington, Rm 1202 Chicago, IL 60602 Hours: 8:30 am - 4:30 pm
- Law Division Richard J Daley Center 50 W Washington, Rm 801 Chicago, IL 60602 Hours: 8:30 am - 4:30 pm
- Traffic Division Richard J Daley Center 50 W Washington, Lower Level Chicago, IL 60602 Hours: 8:30 am - 4:30 pm

Return Date: No return date scheduled Hearing Date: 6/11/2019 9:45 AM - 9:45 AM

Courtroom Number:

Location:

# IN THE CIRCUIT COURT OF COOK COUNTY, ILLINOIS COUNTY DEPARTMENT, CHANCERY DIVISION

FILED 5/31/2019 3:11 PM DOROTHY BROWN CIRCUIT CLERK COOK COUNTY, IL 2019CH06434

CODEV HEADD individually and an bahalf	`	2019CH06434
COREY HEARD, individually and on behalf	)	
of all others similarly situated,	)	5255479
	)	
Plaintiff,	)	
	)	Case No. 2019-CH-06434
$\mathbf{v}_{\star}$	)	
	)	
BECTON, DICKINSON & COMPANY,	)	JURY TRIAL DEMANDED
	)	
Defendant.	)	
	1	

# PLAINTIFF'S MOTION FOR CLASS CERTIFICATION AND REQUEST FOR DISCOVERY ON CERTIFICATION ISSUES

Plaintiff Corey Heard ("Plaintiff") alleges that Defendant Becton, Dickinson & Company ("Defendant") systematically violated the Biometric Information Privacy Act ("BIPA") 740 ILCS 14/1, et seq. This case is well suited for class certification pursuant to 735 ILCS 5/2-801. Specifically, Plaintiff seeks to certify a class consisting of thousands of individuals who used Defendant's Pyxis MedStation system and related Pyxis devices in the State of Illinois who had their fingerprints unlawfully collected, captured, received, obtained, maintained, stored or disclosed by Defendant during the applicable statutory period in violation of BIPA. The question of liability is a legal question that can be answered in one fell swoop. As Plaintiff's claims and the claims of similarly-situated individuals all arise from Defendant's uniform policies and practices, they satisfy the requirement of 735 ILCS 5/2-801 and should be certified.

Plaintiff moves for class certification to protect members of the proposed class, individuals whose proprietary and legally protected personal and private biometric data was invaded by Defendant. Plaintiff believes that the evidence and argumentation submitted within this motion are

sufficient to allow the class to be certified now. However, in the event the Court (or Defendant) wishes for the parties to undertake formal discovery prior to the Court's consideration of this motion, Plaintiff requests that the Court allow him to supplement this briefing and defer the response and reply deadlines.

#### I. RELEVANT BACKGROUND

#### A. The Biometric Information Privacy Act

Major national corporations started using Chicago and other locations in Illinois in the early 2000s to test "new [consumer] applications of biometric-facilitated financial transactions, including finger-scan technologies at grocery stores, gas stations, and school cafeterias." 740 ILCS 14/5(c). Given its relative infancy, an overwhelming portion of the public became wary of this then-growing, yet unregulated, technology. *See* 740 ILCS 14/5.

The Biometric Information Privacy Act, 740 ILCS 14/1, et seq. was enacted in 2008, arising from concerns that these experimental uses of finger-scan technologies created a "very serious need of protections for the citizens of Illinois when it comes to biometric information." Illinois House Transcript, 2008 Reg. Sess. No. 276. Under the Act, it is unlawful for a private entity to, among other things, "collect, capture, purchase, receive through trade, or otherwise obtain a person's or a customer's biometric identifiers or biometric information unless it first:

- (1) Informs the subject ... in writing that a biometric identifier or biometric information is being collected or stored;
- (2) Informs the subject ... in writing of the specific purpose and length of term for which a biometric identifier or biometric information is being collected, stored, and used; and
- (3) Receives a written release executed by the subject of the biometric identifier or biometric information."

740 ILCS 14/15(b).

Although there may be benefits to using biometrics in the workplace, there are also serious risks. Unlike ID badges – which can be changed or replaced if stolen or compromised – fingerprints are a unique, permanent biometric identifier associated with each individual. These biometrics are biologically unique to the individual; once compromised, the individual has no means by which to prevent identity theft, unauthorized tracking, or other unlawful or improper use of this information. This exposes individuals to serious and irreversible privacy risks. For example, if a biometric database is hacked, breached, or otherwise exposed - as in the recent Equifax, Home Depot, Google+ and Facebook/Cambridge Analytica data breaches- individuals have no means to prevent the misappropriation and theft of their proprietary biometric makeup. A showing of actual damages is not necessary in order to state a claim under BIPA. When an entity "fails to adhere to the statutory procedures ... the right of the individual to maintain [his or] her biometric privacy vanishes into thin air." Rosenbach v. Six Flags Ent. Corp., 2019 IL 123186, ¶ 34 (internal quotations omitted) (quoting Patel v. Facebook Inc., 290 F. Supp. 3d 948, 953 (N.D. Cal. 2018)). Thus, recognizing the need to protect its citizens from harms like these, Illinois enacted BIPA specifically to regulate the collection, use, safeguarding, handling, storage, retention, and destruction of biometric identifiers and information.

#### B. Factual Allegations

Plaintiff filed this class action against Defendant on May 24, 2019, to redress Defendant's unlawful collection, use, storage, and disclosure of Pyxis users' biometric information under BIPA. In his Complaint, Plaintiff provided detailed allegations that employees of dozens of Illinois hospitals were universally required to scan their fingerprints for enrollment in Defendant's Pyxis

system in order to secure medication and were not: (1) provided a publicly available retention schedule or guidelines for permanent destruction of the biometric data by Defendant, as required by BIPA; (2) informed in writing of the purpose(s) and length of time for which fingerprint data is being collected, stored, and used by Defendant; (3) provided (nor did they execute) a written release for Defendant in order to collect, store, or use their fingerprints, as required by BIPA; or (4) asked for consent before disclosing, redisclosing, or otherwise disseminating their fingerprints to a third party, as required by BIPA. See Compl. ¶¶ 9, 38-46, 54-57, 63.

Plaintiff has worked as a respiratory therapist from May 2015 to the present for multiple hospitals that utilize Defendant's Pyxis system to secure medication. *Id.* ¶¶ 1-2, 49. As a condition of employment with each hospital, Plaintiff was required to scan his fingerprint so it could be used as an authentication method to access the Pyxis devices. *Id.* ¶¶ 38, 50, 53. Defendant subsequently stored Plaintiff's fingerprint data in its systems. *Id.* ¶ 51.

Defendant failed to inform Plaintiff and other Pyxis device users of the extent of the purposes for which it collects individuals' sensitive biometric data or to whom the data is disclosed. *Id.* ¶¶ 9, 54. Defendant failed to provide Pyxis users, including Plaintiff, with a written, publicly available policy identifying its retention schedule and guidelines for permanently destroying individuals' fingerprint data when the initial purpose for collecting or obtaining their fingerprint is no longer relevant, as required by BIPA. *Id.* ¶¶ 9, 41, 55. Pyxis users, including Plaintiff, were not told what might happen to their biometric data if and when Defendant merges with another company or, worse, if and when Defendant's entire businesses folds. *Id.* ¶¶ 45-46. Since Defendant neither published a BIPA-mandated data retention policy nor disclosed the purposes for its collection of biometric data, Pyxis users, including Plaintiff, had no idea to whom Defendant sells, discloses, re-discloses, or otherwise disseminates their biometric data. *Id.* ¶¶ 9, 39-40, 45-46, 54-

55. Nor are users told to whom Defendant currently discloses their biometric data or what might happen to their biometric data in the event of a merger or a bankruptcy. *Id.* ¶¶ 45-46. Finally, Defendant failed to secure a written release from any Pyxis users, including Plaintiff, permitting it to collect, store, use, and disseminate Pyxis users' biometric data, as required by BIPA. *Id.* ¶¶ 9, 41, 56.

Accordingly, Defendant's practices violated BIPA. As a result of Defendant's violations, Plaintiff and similarly-situated individuals were subject to Defendant's common and uniform policies and practices and were victims of its scheme to unlawfully collect, store, use, and disseminate Plaintiff's and all other similarly-situated individuals' biometric data in direct violation of BIPA. As a result of Defendant's multiple violations of BIPA, Plaintiff and all other similarly-situated individuals suffered an invasion of privacy and other damages.

Plaintiff now seeks class certification for the following similarly-situated individuals, defined as:

All individuals working in the State of Illinois who had their fingerprints collected, captured, received, or otherwise obtained or disclosed by Defendant during the applicable statutory period.

Given Defendant's standard practices defined above and the straightforward and common legal questions presented in this case, Plaintiff now moves for class certification. Notably, this motion is being filed shortly after the Complaint was filed and before Defendant has responded. The parties have not discussed settlement, neither settlement offers nor demands have been made, and a scheduling order has not been issued. For the reasons discussed herein, Plaintiff's request should be granted.

#### II. STANDARD FOR CLASS CERTIFICATION

"The basic purpose of a class action is the efficiency and economy of litigation." *CE Design Ltd. v. C & T Pizza, Inc.*, 2015 IL App. (1st) 131465, ¶ 9 (III. App. Ct. May 8, 2015) (citing *Miner v. Gillette Co.*, 87 III. 2d 7, 14 (1981)). "In determining whether to certify a proposed class, the trial court accepts the allegations of the complaint as true and should err in favor of maintaining class certification." *CE Design Ltd.*, 2015 IL App. (1st) 131465, ¶ 9 (citing *Ramirez v. Midway Moving & Storage, Inc.*, 378 III. App. 3d 51, 53 (2007)). Under Section 2-801 of the Code of Civil Procedure, a class may be certified if the following four requirements are met:

- (1) the class is so numerous that a joinder of all members is impracticable;
- (2) there are questions of fact or law common to the class that predominate over any questions affecting only individual members;
- (3) the representative parties will fairly and adequately protect the interest of the class; and
- (4) the class action is an appropriate method for the fair and efficient adjudication of the controversy.

See Smith v. Illinois Cent. R.R. Co., 223 III. 2d 441, 447 (2006) (citing 735 ILCS 5/2-801). Notably, "[a] trial court has broad discretion in determining whether a proposed class meets the requirements for class certification." CE Design Ltd., 2015 IL App. (1st) 131465, ¶ 9 (citing Ramirez, 378 III. App. 3d at 53). Here, the allegations and facts in this case amply demonstrate that the four certification factors are met.

#### III. ARGUMENT

Plaintiff's claims here are especially suited for class certification because Defendant treated all Pyxis users identically for the purposes of applying BIPA. All of the putative class members in this case were uniformly subjected to the same illegal and unlawful collection, storage, use, and

dissemination of their biometric data that was required as a condition of employment throughout the class period. Plaintiff meets each of the statutory requirements for maintenance of this suit as a class action. Moreover, in a recent BIPA case with similar legal and factual issues as the instant matter, the court granted plaintiff's Motion for Class Certification, finding that each of the four factors for class certification were met. *See* Exhibit A, *Roberson v. Symphony Post Acute Care Network*, Case No. 17-L-733 (Cir. Ct. St. Clair Cty., Mar. 12, 2019). Thus, the class action device is ideally suited and is far superior to burdening the Court with many individual lawsuits to address the same issues, undertake the same discovery, and rely on the same testimony.

#### A. The Class Is So Numerous That Joinder of All Members Is Impracticable.

Numerosity is not dependent on a plaintiff setting forth a precise number of class members or a listing of their names. See Cruz v. Unilock Chicago, 383 Ill. App. 3d 752, 771 (2d Dist. 2008) ("Of course, plaintiffs need not demonstrate a precise figure for the class size, because a goodfaith, non-speculative estimate will suffice; rather, plaintiffs need demonstrate only that the class is sufficiently numerous to make joinder of all of the members impracticable.") (internal citations omitted); Hayna v. Arby's, Inc., 99 Ill. App. 3d 700, 710-11 (1st Dist. 1981) ("It is not necessary that the class representative name the specific individuals who are possibly members of the class."). Courts in Illinois generally find numerosity when the class is comprised of at least 40 members. See Wood River Area Dev. Corp. v. Germania Fed. Sav. Loan Ass'n, 198 Ill. App. 3d 445, 450 (5th Dist. 1990).

In the present case, there can be no serious dispute that Plaintiff meets the numerosity requirement. The class of potential plaintiffs is sufficiently large to make joinder impracticable.<sup>1</sup>

Upon information and belief, Defendant provides Pyxis devices to dozens of hospitals employing hundreds of Illinois workers, many of whom are members of the class. *See* Compl. ¶¶ 1-2, 49.

As a result of Defendant's violations of BIPA, Plaintiff and all similarly-situated individuals were subjected to Defendant's common and uniform policies and practices and were victims of Defendant's schemes to unlawfully collect, store, use, and disseminate their extremely personal and private biometric data in direct violation of BIPA. As a result of Defendant's violations of the Act, Plaintiff and all other similarly-situated individuals suffered an infringement of the rights afforded them under the law. Rosenbach, 2019 IL 123186, ¶ 38. The precise number in the class cannot be determined until discovery records are obtained from Defendant. Nevertheless, class membership can be easily determined by reviewing Defendant's records. A review of Defendant's files regarding the collection, storage, use, and dissemination of Pyxis users' biometric data performed during the class period is all that is needed to determine membership in Plaintiff's proposed class. See e.g., Chultem v. Ticor Title Ins. Co., 401 Ill. App. 3d 226, 233 (1st Dist. 2010) (reversing Circuit Court's denial of class certification and holding that class was certifiable over defendant's objection that "the proposed class was not ascertainable, because the process of reviewing defendant's transaction files to determine class membership would be burdensome"); Young v. Nationwide Mut. Ins. Co., 693 F.3d 532, 539-40 (6th Cir. 2012)<sup>2</sup> (rejecting the argument that manual review of files should defeat certification, agreeing with district court's reasoning that, if manual review was a bar, "defendants against whom claims of wrongful conduct have been made could escape class-wide review due solely to the size of their businesses or the manner in which their business records were maintained," and citing numerous courts that are in agreement, including Perez v. First Am. Title Ins. Co., 2009 WL 2486003, at \*7 (D. Ariz. Aug. 12, 2009)

<sup>&</sup>quot;Section 2-801 is patterned after Rule 23 of the Federal Rules of Civil Procedure and, because of this close relationship between the state and federal provision, 'federal decisions interpreting Rule 23 are persuasive authority with regard to questions of class certification in Illinois." *Cruz*, 383 Ill. App. 3d at 761 (quoting *Avery v. State Farm Mutual Automobile Insurance Co.*, 216 Ill.2d 100, 125 (2005)).

("Even if it takes a substantial amount of time to review files and determine who is eligible for the [denied] discount, that work can be done through discovery")). Once Defendant's records are obtained, the Court will know the precise number of persons affected.

Absent certification of this class action, Pyxis users may never know that their legal rights have been violated, and as a result, may never obtain the redress to which they are entitled under BIPA. Illinois courts have noted that denial of class certification where members of the putative class have no knowledge of the lawsuit may be the "equivalent of closing the door of justice" on the victims. *Wood River Area Dev. Corp. v. Germania Fed. Sav. & Loan Assn.*, 198 Ill.App.3d 445, 452 (5th Dist. 1990). Further, recognizing the need to protect its citizens from harms such as identity theft, Illinois enacted BIPA specifically to regulate the collection, use, safeguarding, handling, storage, retention, and destruction of biometric identifiers and information. A class action would help ensure that Plaintiff and all other similarly-situated individuals have a means of redress against Defendant for its widespread violations of BIPA.

# B. <u>Common Questions Of Law And Fact Exist That Predominate Over Any Questions Solely Affecting Individual Members Of The Class.</u>

Courts analyze commonality and predominance under Section 2-801 by identifying the substantive issues that will control the outcome of the case. *See Bemis v. Safeco Ins. Co. of Am.*, 407 III. App. 3d 1164, 1167 (5th Dist. 2011); *Cruz*, 383 III. App. 3d at 773. The question then becomes whether those issues will predominate and whether they are common to the class, meaning that "favorable adjudication of the claims of the named plaintiffs will establish a right of recovery in other class members." *Cruz*, 383 III. App. 3d at 773. As stated by the Court of Appeals, the question is will "common ... issues be the subject of the majority of the efforts of the litigants and the court[?]" *Bemis*, 407 III. App. 3d at 1168. The answer here is "yes."

At the heart of this litigation is Defendant's culpable conduct under BIPA. The issues are simple and straightforward legal questions that plainly lend themselves to class-wide resolution. Notwithstanding the clear and unequivocal requirements of the law, Defendant disregarded Plaintiff's and other similarly-situated individuals' statutorily-protected privacy rights and unlawfully collected, stored, used, and disseminated their biometric data in direct violation of BIPA. Specifically, Defendant has violated BIPA because it failed to: (1) inform Plaintiff and putative class members in writing of the purpose and length of time for which their fingerprints were being collected, stored, disseminated and used; (2) provide a publicly available retention schedule or guidelines for permanent destruction of the biometric data; and (3) provide Plaintiff and putative class members a written release. Defendant treated the entire proposed class in precisely the same manner, resulting in identical violations of BIPA. These common practices create common issues of law and fact. In fact, the legality of Defendant's collection, storage, use, and dissemination of Plaintiff's and the putative class's biometric data is the focus of this litigation.

Indeed, once this Court determines whether Defendant's practices of collecting, storing, using and disseminating individuals' biometric data without adhering to the specific requirements of BIPA constitutes violations thereof, liability for the claims of class members will be determined in one stroke. The material facts and issues of law are substantially the same for the members of the class, and therefore these common issues could be tried such that proof as to one claimant would be proof as to all members of the class. This alone establishes predominance. The only remaining questions will be the proper measure of damages and injunctive relief, which in and of themselves are questions common to the class. A showing of actual damages is not necessary in order to state a claim under BIPA. *See Rosenbach*, 2019 IL 123186, ¶ 40 ("[A]n individual need not allege some actual injury or adverse effect, beyond violation of his or her rights under the Act,

in order to qualify as an 'aggrieved' person and be entitled to seek liquidated damages and injunctive relief pursuant to the Act"). Accordingly, a favorable adjudication of the Plaintiff's claims in this case will establish a right of recovery to all other class members, and thus the commonality and predominance requirements weigh in favor of certification of the class.

# C. The Named Plaintiff And Class Counsel Are Adequate Representatives Of The Class.

When evaluating adequacy, courts look to whether the named plaintiff has the same interests as those of the class and whether he or she will fairly represent them. See CE Design Ltd., 2015 IL App. (1st) 131465, ¶ 16. In this case, Plaintiff's interest arises from statute. The class representative, Corey Heard, is a member of the proposed class and will fairly and adequately protect the class's interests. Plaintiff, as a condition of employment, was required to have his fingerprint scanned so it could be used as an authentication method to access the Pyxis devices. Defendant subsequently stored Plaintiff's fingerprint in its system. Prior to the collection of his biometric identifiers and/or biometric information, Plaintiff was not informed of the specific limited purposes (if any) or length of time for which Defendant collected, stored, used, or disseminated his biometric data. Nor was Plaintiff informed of any biometric data retention policy developed by Defendant or when, if ever, Defendant will permanently delete his fingerprint information. Finally, Plaintiff was not provided a written release allowing Defendant to collect, store, use, or disseminate his fingerprint. Thus, Plaintiff was a victim of the same uniform policies and practices of Defendant as the individuals he seeks to represent and is not seeking any relief that is potentially antagonistic to other members of the class. What is more, Plaintiff has the interests of those class members in mind, as demonstrated by his willingness to sue on a classwide basis and step forward as the class representative, which subjects him to discovery. (See

Exhibit B – Affidavit of Corey Heard.) This qualifies him as a conscientious representative plaintiff and satisfies the adequacy of representation requirement.

Proposed Class Counsel, Stephan Zouras, LLP, will also fairly and adequately represent the class. Proposed Class Counsel are highly qualified and experienced attorneys. (*See* Exhibit C – Affidavit of Ryan F. Stephan and the Firm Resume attached thereto as Exhibit C-1). Stephan Zouras, LLP, are recognized attorneys in class action lawsuits and have been designated as class counsel in numerous class actions in state and federal courts. (*See* Exhibit C, Exhibit C-1). Thus, proposed Class Counsel, too, are adequate and have the ability and resources to manage this lawsuit.

# D. <u>A Class Action Is The Appropriate Method For Fair And Efficient Adjudication Of This Controversy.</u>

Finally, a class action is the most appropriate method for the fair and efficient adjudication of this controversy, rather than bringing individual suits which could result in inconsistent determinations and unjust results. "It is proper to allow a class action where a defendant is alleged to have acted wrongfully in the same basic manner toward an entire class." *P.J.'s Concrete Pumping Service, Inc. v. Nextel West Corporation*, 345 III. App. 3d 992, 1003 (2d Dist. 2004). "The purported class representative must establish that a successful adjudication of its individual claims will establish a right of recovery or resolve a central issue on behalf of the class members." *Id.* 

Here, Plaintiff's claim stems from Defendant's common and uniform policies and practices, resulting in common violations of BIPA for all members of the class. Thus, class certification will obviate the need for unduly duplicative litigation that might result in inconsistent judgments concerning Defendant's practices. *Wenthold v. AT&T Technologies, Inc.*, 142 Ill. App.

3d 612 (1st Dist. 1986). Without a class, the Court would have to hear dozens, if not thousands, of additional individual cases raising identical questions of liability. Moreover, class members are better served by pooling resources rather than attempting to litigate individually. *CE Design Ltd.*, 2015 IL App. (1st) 131465, ¶¶ 28-30 (certifying TCPA class where statutory damages were alleged and rejecting arguments that individual lawsuits would be superior). In the interests of justice and judicial efficiency, it is desirable to concentrate the litigation of all class members' claims in a single forum. For all of these reasons, the class action is the most appropriate mechanism to adjudicate the claims in this case.

# E. <u>In The Event The Court Or Defendant Seek More Factual Information</u> Regarding This Motion, The Court Should Allow Supplemental And Deferred Briefing Following Discovery.

There is no meaningful need for discovery for the Court to certify a class in this matter; Defendant's practices and policies are uniform. If, however, the Court wishes for the Parties to engage in discovery, the Court should keep the instant motion pending during the discovery period, allow Plaintiff a supplemental brief, and defer Defendant's response and Plaintiff's reply. Plaintiff is moving as early as possible for class certification in part to avoid the "buy-off problem," which occurs when a defendant seeks to settle with a class representative on individual terms in an effort to moot the class claims asserted by the class representative. Plaintiff is also moving for class certification now because the class should be certified, and because no meaningful discovery is necessary to establish that fact. The instant motion is far more than a placeholder or barebones memorandum. Rather, Plaintiff's full arguments are set forth based on the facts known at this extremely early stage of litigation. Should the Court wish for more detailed factual information, the briefing schedule should be extended.

#### IV. CONCLUSION

For the reasons stated above, Plaintiff respectfully requests that the Court enter an Order: (1) certifying Plaintiff's claims as a class action; (2) appointing Plaintiff Corey Heard as Class Representative; (3) appointing Stephan Zouras, LLP as Class Counsel; and (4) authorizing court-facilitated notice of this class action to the class. In the alternative, this Court should allow discovery, allow Plaintiff to supplement this briefing, and defer response and reply briefs.

Date: May 31, 2019

Respectfully Submitted,

/s/ Ryan F. Stephan
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ATTORNEYS FOR PLAINTIFF

## **CERTIFICATE OF SERVICE**

I, the attorney, hereby certify that on May 31, 2019, I filed the attached with the Clerk of the Court using the electronic filing system which will send such filing to all attorneys of record.

/s/ Ryan F. Stephan\_\_\_\_

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Return Date: No return date scheduled Hearing Date: 6/11/2019 9:45 AM - 9:45 AM

Courtroom Number:

Location:

FILED 5/31/2019 3:11 PM DOROTHY BROWN CIRCUIT CLERK COOK COUNTY, IL 2019CH06434

5255479

**EXHIBIT A** 

FILED DATE: 5/31/2019 3:11 PM 2019CH06434

# IN THE CIRCUIT COURT TWENTIETH JUDICIAL CIRCUIT ST. CLAIR COUNTY, ILLINOIS

SAROYA ROBERSON, individually and on behalf of all others similarly situated,	)
Plaintiff,	) ) ) <sup>-</sup>
<b>v</b> .	
SYMPHONY POST ACUTE CARE NETWORK; SYMPHONY SYCAMORE LLC; SYMPHONY HEALTHCARE LLC; SYMPHONY M.L. LLC; SYMPHONY MONARCH HOLDINGS, LLC; and DOE DEFENDANTS 1-100,	FILED ST. CLAIR COUNTY  MAR 1 2 2019  Stable a Clay CIRCUIT CLERK
Defendants	) i

# MEMORANDUM AND ORDER ON CLASS CERTIFICATION

The case comes before the Court on Plaintiff's Motion for Class Certification ("Motion").

The issues have been briefed and argued by the parties. The Court hereby **ORDERS**:

#### 1. NATURE OF THE CASE AND PLAINTIFF'S MOTION FOR CLASS CERTIFICATION.

Plaintiff Saroya Roberson worked at a nursing home in Swansea, Illinois. Plaintiff alleges that as part of timekeeping while she worked at this location, Defendants and others captured her biometric information or biometric identifiers (a palm scan) within the meaning of the Illinois Biometric Privacy Information Act, 740 ILCS 14/1 ("BIPA"). Defendants' opposition brief does not dispute Roberson's biometric information or biometric identifiers were so captured.

BIPA manifests the Illinois General Assembly's findings that:

<sup>&</sup>lt;sup>1</sup> Arguments were heard on December 20, 2018 before Judge Julia R. Gomric. On February 8, 2019, after hearing, but before Judge Gomric ruled on the pending Motion for Class Certification, the court granted Symphony Sycamore LLC's Motion for Substitution as a Matter of Right, and this case was subsequently assigned to the undersigned. The court has reviewed the court file and report of proceedings held on December 20, 2018 and is ready to proceed without the need for additional hearing.

- (1) Biometrics are uniquely sensitive identifiers. "Biometrics are unlike other unique identifiers . . . [and] are biologically unique to the individual; therefore, once compromised, the individual has no recourse, is at heightened risk for identity theft, and is likely to withdraw from biometric-facilitated transactions." 740 ILCS § 14/5(c).
- (2) Biometric technology is a new frontier subject to unpredictable developments. "The full ramifications of biometric technology are not fully known." *Id.* at § 14/5(f).
- (3) People are apprehensive of transactions involving their biometrics. The "overwhelming majority of members of the public are weary of the use of biometrics when such information is tied to finances and other personal information" and are "deterred from partaking in biometric identifier-facilitated transactions." *Id.* at § 14/5(d)-(e).
- (4) Regulation of biometric collection, use, and storage serves the public interest. The "public welfare, security and safety will be served by regulating the collection, use, safeguarding, handling, storage, retention, and destruction of biometric identifiers and information." *Id.* at § 14/5(g).

Accordingly, BIPA puts certain requirements on parties dealing with biometric identifiers or biometric information, including:

- (b) No private entity may collect, capture, purchase, receive through trade, or otherwise obtain a person's or a customer's biometric identifier or biometric information, unless it first:
- (1) informs the subject or the subject's legally authorized representative in writing that a biometric identifier or biometric information is being collected or stored;
- (2) informs the subject or the subject's legally authorized representative in writing of the specific purpose and length of term for which a biometric identifier or biometric information is being collected, stored, and used; and
- (3) receives a written release executed by the subject of the biometric identifier or biometric information or the subject's legally authorized representative.

740 ILCS 14/5(b) (2018).

Plaintiff alleges none of these requirements were met when capturing her biometric information. Defendants' opposition to the Motion does not dispute this.

BIPA further provides a right of action for violations of its requirements:

Sec. 20. Right of action. Any person aggrieved by a violation of this Act shall have a right of action in a State circuit court . . . against an offending party. A prevailing party may recover for each violation:

- (1) against a private entity that negligently violates a provision of this Act, liquidated damages of \$1,000 or actual damages, whichever is greater;
- (2) against a private entity that intentionally violates a provision of this Act, liquidated damages of \$5,000 or actual damages, whichever is greater; . . . .

740 ILCS 14/20 (2018). Plaintiff brought this action pursuant to these and other provisions of BIPA.

Plaintiff alleges the Swansea, Illinois location where her biometric identifiers were captured is part of a network, the Symphony Post Acute Network ("SPAN" or the "Network"). She seeks to certify a class of Illinois citizens who had their biometric information or biometric identifiers captured, collected, *etc.* at any Illinois location in the Network (and associated subclasses discussed below):

All Illinois citizens whose biometric information was collected, captured, purchased, received through trade, or otherwise obtained in Illinois at any location associated with the Symphony Post Acute Care Network, a/k/a Symphony Post Acute Network, as set forth in the Illinois Biometric Information Privacy Act, 740 ILCS 14/5 et seq.

Excluded from the proposed Class are employees, officers, directors, subsidiaries and affiliates of any person or business associated with the Symphony Post Acute Care Network, a/k/a Symphony Post Acute Network, the judge or any officer of the court presiding over this action.

#### II. LAW REGARDING A DETERMINATION OF CLASS CERTIFICATION.

"In determining whether to certify a proposed class, the trial court . . . should avoid

deciding the underlying merits of the case or resolving unsettled legal questions." *CE Design Ltd. v. C & T Pizza, Inc.*, 2015 IL App (1st) 131465 (2015), ¶ 9. "In making its decision as to whether to certify a class, the court may consider any matters of fact or law properly presented by the record, which includes the pleadings, depositions, affidavits, answers to interrogatories, and any evidence that may be adduced at the hearings." *Bueker*, 2016 IL App (5th) 150282 at ¶ 22. "To determine whether the proposed class should be certified, the court accepts the allegations of the complaint as true." *Clark*, 343 III. App. 3d at 544-45. *See also CD Design*, 2015 IL App (1st) 131465 at ¶ 9 ("In determining whether to certify a proposed class, the trial court accepts the allegations of the complaint as true . . . ."); *S37 Mgmt.*, 2011 IL App (1st) 102496 at ¶ 15 (same).

The factors which the Court must consider on a motion for class certification are the familiar framework established by statute. For a suit to proceed as a class action in Illinois, the Court must find that: (1) the class is so numerous that joinder of all members is impracticable; (2) there are questions of fact or law common to the class, which predominate over any questions affecting only individual members; (3) the representative parties will fairly and adequately protect the interests of the class; and (4) a class action is an appropriate method for the fair and efficient adjudication of the controversy. 735 ILCS 5/2-801 (2018). See also e.g. Clark, et al. v. TAP Pharm. Prods., Inc., et al., 343 Ill. App. 3d 538, 544-45 (5th Dist. 2003).

## III. FIRST FACTOR: NUMEROSITY (735 ILCS 5-2/801(1)).

Section 801(1) requires not only that the number of plaintiffs be numerous, but also that joinder of plaintiffs in one individual action be impractical. 735 ILCS 5/2-801(1). Where there are a number of potential claimants, and the individual amount claimed by each is small,

making redress on an individual level difficult, if not impossible, Illinois courts have been particularly receptive to proceeding on a class action basis. *Miner v. Gillette Co.*, 87 III.2d 7 (1981). Avoiding unnecessary burdens on the courts themselves is also a legitimate concern. "Affirming the trial court's class certification order will avoid the filing of numerous, repetitive cases placing a burden on the court." *Fakhoury v. Pappas*, 395 III. App. 3d 302, 316 (1st Dist. 2009).

Plaintiff states that Defendants have identified, at a minimum, 552 workers who would be members of the class from the Swansea, Illinois location alone. Defendants' opposition to the Motion does not dispute this; in fact, Defendants' opposition does not mention numerosity at all. Accordingly, the Court finds that the numerosity factor is satisfied. *See Wood River Area Dev. Corp. v. Germania Fed. Sav. and Loan Ass'n*, 198 III. App. 3d 445 (5th Dist. 1990).

# IV. SECOND FACTOR: COMMON AND PREDOMINANT ISSUES OF FACT OR LAW (735 ILCS 5-2/801(2)).

Section 801(2) requires "questions of fact or law common to the class." 735 ILCS 5/2-801(2) (2018). As the statute is phrased in the alternative, certification requires "only that there be either a predominating common issue of law or fact, not both." *Martin v. Heinold Commodities, Inc.*, 117 III.2d 67, 81 (1994).

Plaintiff suggests that a case presents common issues when defendants have engaged in the same or similar course of conduct, and that this is particularly true where – as here – the claims are based predominantly upon the application of a single statute or statutory scheme. "A common question may be shown when the claims of the individual class members are based upon the common application of a statute . . . ." Clark, 343 III. App. 3d at 548. See also Bueker, 2016 IL App (5th) 150282, ¶ 27 ("With regard to the commonality requirement, a common issue

may be shown where the claims of the individual class members are based upon the common application of a statute or where the proposed class members are aggrieved by the same or similar conduct or pattern of conduct."); *Hall*, 376 III. App. 3d at 831 (same).<sup>2</sup> Defendants' opposition to the Motion did not dispute this general premise.

Thus, according to Plaintiff, "Examination quickly establishes that commonality is easily satisfied in this case. All class members are citizens of Illinois. All are proceeding principally under a single Illinois statute, BIPA. Each was subjected to an identical course of conduct by defendants: The capture of their biometric information."

Plaintiff further goes on to enumerate specific questions of law or fact which she states will predominate:

- a. Whether the Defendants captured, collected, stored or used the biometric information of the Plaintiff and the Class?
- b. If the Defendants captured, collected, stored or used the biometric information of the Plaintiff and the Class, did the Defendants inform the Plaintiff and the Class in writing that a biometric identifier or biometric information was being collected or stored?
- c. If the Defendants captured, collected, stored or used the biometric information of the Plaintiff and the Class, did the Defendants inform the Plaintiff and the Class in writing of the specific purpose and length of term for which a biometric identifier or biometric information was being collected, stored, and used?
- d. If the Defendants captured, collected, stored or used the biometric information of the Plaintiff and the Class, did the Defendants receive a written release executed by the Plaintiff and the Class of the biometric identifier or biometric information or the Plaintiff's or Class' legally

<sup>&</sup>lt;sup>2</sup> Bearing in mind that the court does not consider the merits at this stage, see *supra*, the Court also does not consider which class members will ultimately prevail. "That some members of the class are not entitled to relief because of some particular factor will not bar the class action." *Clark*, 343 III. App. 3d at 549. *See also Hall*, 376 III. App. 3d at 831-32 ("That some members of the class are not entitled to relief will not bar the class action.").

authorized representative?

- e. If the Defendants captured, collected, stored or used the biometric information of the Plaintiff and the Class, did the Defendants develop a written policy, made available to the public, establishing a retention schedule and guidelines for permanently destroying biometric identifiers and biometric information when the initial purpose for collecting or obtaining such identifiers or information has been satisfied or within 3 years of the individual's last interaction with the private entity, whichever occurs first?
- f. Whether Defendants' violations of BIPA were negligent, or instead, intentional or reckless, within the meaning of 740 ILCS 14/20?

Thus, Plaintiff summarizes: "Defendants' compliance with the requirements of BIPA – a single statutory scheme – is the central question in this case. This same question will predominate for each and every class member."

Defendants argue that common questions do not predominate in this case. Defendants assert that "The purpose of the predominance requirement is to ensure that the proposed class is sufficiently cohesive to warrant adjudication by representation . . .' Smith v. Illinois Cent. R.R. Co., 223 Ill. 2d 441, 448 (2006)." According to Defendants, to satisfy this predominance requirement, a plaintiff must show that "successful adjudication of the class representative's individual claim 'will establish a right of recovery in other class members' such that 'all that should remain is for other class members to file proof of their claim., Id. (quotation omitted); see also Mashal v. City of Chicago, 2012 IL 112341, ¶33 (same)."

Defendants then go on to provide a list of issues they claim defeat commonality and predominance in this case:

 a. whether a class member used the same type of "finger or hand print reader/scanner" that Roberson used,

- b. whether a class member has suffered a sufficient injury to invoke BIPA's private right of action,
- c. whether a class member has suffered actual injury such that actual damages could be recovered in excess of the BIPA's liquidated damages,
- d. whether that injury exceeds the liquidated damages provision in BIPA,
- e. whether that injury was suffered at the hands of any person or business that is in fact "associated with the Symphony Post-Acute Care Network,"

  a/k/a Symphony Post-Acute Network,"
- f. whether that entity acted negligently or willfully with respect to that particular class member,
- g. whether that class member's claim is subject to any affirmative defenses, like consent or ratification.

First, since the hearing on Plaintiff's Motion on December 20, 2018, the Supreme Court of Illinois has ruled that "an individual need not allege some injury or adverse effect, beyond violation of this or her right under [BIPA], in order to qualify as an 'aggrieved' person and be entitled to seek liquidated damages and injunctive relief pursuant to the Act." Rosenbach v. Six Flags Entertainment Corp., 2019 IL 123186, slip op. at p.13 (Ill. Jan. 25, 2019). As such, many of the arguments raised above are moot.

Moreover, it is well-established that by themselves, such issues do not defeat class certification. "Individual questions of injury and damages do not defeat class certification." Clark, 343 III. App. 3d at 549. See also Hall, 376 III. App. 3d at 832 (same). At most, if damage questions do present significant issues, they can be handled in ancillary proceedings. "It is appropriate to litigate the questions of law or fact common to all members of the class and, after the determination of the common questions, to determine in an ancillary proceeding or proceedings the questions that may be peculiar to individual class members." Clark, 343 III.

App. 3d at 548 (internal quotations omitted). In fact, Defendants' own cited authority establishes that these differences (if true) are generally not grounds to defeat class certification. *Walczak v. Onyx Acceptance Corp.*, 365 III. App. 3d 664, 679 (2nd Dist. 2006). ("Moreover, we note that, generally, individual counterclaims or defenses do not render a case unsuitable for class action.")

More broadly, Defendants' characterization of the common issues in this case, and which of them will predominate, is questionable. *Smith* was a toxic tort case involving a train derailment, and then a resulting chemical spill, with all the attenuated questions as to proximate causation of bodily injury resulting from a complicated series of events. *Smith*, 233 III.2d 442-58. This is not that case. This case involves a single statutory scheme – BIPA – and the issues presented can be summarized in a straightforward way: Did the Network capture biometric information from members of the class, and if so, did they comply with BIPA while doing so? These questions are what will consume "the bulk of the time at trial." *Smith*, 233 III.2d at 458.

That BIPA's straightforward, statutory requirements may have been met in some cases, but not others, does not preclude class certification, as Defendants suggest. First, this invites the Court to determine the merits of the case, which the Court does not do at this stage, as has already been established.

Second, the fact that some class members may recover, but not all, is no impediment to class certification. "That some members of the class are not entitled to relief because of some particular factor will not bar the class action." *Clark*, 343 III. App. 3d at 549. *See also Hall*, 376 III. App. 3d at 831-32 ("That some members of the class are not entitled to relief will not bar the

class action.").

Third, the flexibility of the class action procedure ensures that even if the issues Defendants raise do become significant at some future point in time, the Court has the ability to address such matters then. "If individual damage determinations are necessary, the court can utilize various procedures to determine damages, including the creation of subclasses." Bueker, 2016 IL App (5th), ¶ 31 (citing Hall, 376 III. App. 3d at 832). "Furthermore, if the class becomes unmanageable at some later time in the litigation, the court always has the option to set aside the class certification or a portion of it." *Id.* (citing *Purcell & Wardrope Chtd. v. Hertz Corp.*, 175 III.App.3d 1069, 1075 (1st Dist. 1988)).

Finally, while the Court finds that common questions of fact or law will predominate this case as a whole, it alternately finds that issue certification would be appropriate as well. Even in cases involving the most complex questions of injury or damages — and again, this is not that case, as it arises under a single simple statute — classes may be certified as to issues, such as legal issues, or the issue of liability. Even the cases Defendants themselves cite recognize this. See e.g. Smith, 223 III.2d at 457 ("the trial court in this case did not limit class certification to the issue of liability . . . ."); Bueker, 2016 IL App (5th) 150282, ¶ 34 (courts have the ability to limit certification for liability purposes only). Thus, in the alternative, the commonality and predominance of legal and liability issues in this case demonstrate it is also appropriately suited for certification as to common legal issues, and to issues concerning liability.

V. THIRD FACTOR: ADEQUATE REPRESENTATION OF THE INTERESTS OF THE CLASS (735 ILCS 5-2/801(3)).

Section 801(3) requires that the "representative parties will fairly and adequately protect the interests of the class." 735 ILCS 5/2-801(2) (2018). Adequate representation has

two components: (1) adequacy of the named Plaintiff; and (2) adequacy of the named Plaintiff's attorneys. *See Miner v. Gillette Co.*, 87 III.2d 7 (1981). As Defendant posits, "[t]he purpose of the adequate representation requirement is to ensure that all class members will receive proper, efficient, and appropriate protection of their interests in the presentation of the claim. *Walczak*, 365 III. App. 3d at 678.

Defendants do not argue that Plaintiff's attorneys are inadequate. Accordingly, the Court accepts that they will provide proper, efficient, and appropriate protection of the interests of the class in presenting the claims.

Defendants do, however, challenge the adequacy of Plaintiff Roberson. The principal argument made by Defendants is that the interests of Roberson are antagonistic to those of the class, as class members may want to seek a monetary award, and that (according to Defendants) during her deposition Roberson disclaimed any intention of seeking a monetary recovery.

This is wholly unpersuasive. Plaintiff, by way of her pleadings, discovery responses, statements of her attorneys, and otherwise, has made it abundantly clear on multiple occasions that she seeks a monetary recovery in this action, not only on her own behalf, but also on behalf of the other class members. Her deposition responses did not contradict that. In fact, Plaintiff stated she wants the law (BIPA) enforced, and BIPA expressly provides for monetary awards.

The rest of Defendants' adequacy arguments are much in the same vein. Quizzing Plaintiff on what she understands about Defendants' corporate structure, or how the law interprets "injury" or "damages," does nothing to demonstrate Plaintiff's inadequacy as a class

representative, as it does nothing to show that Plaintiff is either antagonistic to the class or will fail to properly pursue the interests of the class. It merely demonstrates that Plaintiff, a layperson, does not understand the intricacies of the law or lawsuits. But that is why a representative is – not only encouraged, but outright required – to hire effective legal counsel.

In short, the quantum of understanding necessary on the part of a representative is not nearly as complex as Defendants would have it. "The plaintiff class representative need only have a marginal familiarity with the facts of his case and does not need to understand the legal theories upon which his case is based to a greater extent." *Clark*, 343 III. App. 3d at 550-51 (internal quotations omitted). The Court finds that the adequacy of representation requirement is fulfilled in this case.

### VI. FOURTH FACTOR: THE CLASS ACTION PROCEDURE IS THE APPROPRIATE METHOD FOR THE FAIR AND EFFICIENT ADJUDICATION OF THE CONTROVERSY (735 ILCS 5-2/801(4)).

Finally, the fourth statutory factor requires the Court to consider whether "[t]he class action is an appropriate method for the fair and efficient adjudication of the controversy." 735 ILCS 5/2-801(d) (2018). The balance of Defendants' remaining arguments are entered on this factor.

One of these arguments centers around who was Plaintiff's employer. Defendants seem to invest this with independent legal significance. But this was already addressed in the context of Defendants' § 2-615 motion to dismiss. The terms "employer" and "employee" appear nowhere in BIPA, nor do any related terms. In fact, BIPA expressly contemplates many circumstances well outside the employment context, such as "finger-scan technologies at grocery stores, gas stations, and school cafeterias." 740 ILCS 14-5(b) (2018).

Accordingly, dividing the world up into "Employer Defendants" and "Non-Employer

Defendants" is meaningless for purposes of BIPA liability, which applies to any "private entity" (740 ILCS 14/10-15 (2018)) who constitutes an "offending party" (740 ILCS 14-20 (2018)).

To the extent Defendants' argument asks this Court to first construe those terms, and then to apply them to the facts of this case, the Court must decline. This involves disputed issues of fact, going to the merits of the case, and/or unsettled legal issues. As previously established, it is not the province of the Court to decide these issues on a motion to certify a class. Nor will the Court render an advisory opinion. Indeed, issues like this weigh affirmatively in *favor* of class certification, as they will be common questions to which any affected class member will seek an answer – no matter what that answer may be.

Much the same is true for Defendants' other arguments, which may be broadly classified as "corporate liability." Defendants claim each Network location is independently owned and operated, and argue that only some defendants will be liable as to some class members, mentioning in passing things such as the statutes regarding limited liabilities. Defendants make a further argument that they cannot be held liable for anything other than events occurring in Swansea. Defendants even go so far as to as to argue there are "constitutional concerns" as to the rights of any non-party entities. Defendants do not provide any explanation, however, as to how Defendants would have standing to raise any such concerns on behalf of entities with whom they also disavow any connection.

For her part, Plaintiff points out that she has pleaded from the outset of the case a variety of theories assessing mutual liability of the Network. Those theories include topics such as respondent superior, alter ego, agency, joint enterprise, civil conspiracy, etc. Plaintiff points out any assertion by Defendants as to who did or did not operate any given Network location

simply begs the questions this lawsuit will answer. Plaintiff further contends that the fact Defendants raise these common questions shows all the more strongly why this case should proceed as a class action.

Both sides have presented discovery responses, discovery productions, public documents, Network documents, etc. in support of their positions. The Court has reviewed all of these materials. The Court finds that none of these materials conclusively resolves such issues either way.

Accordingly, the Court concludes that the parties have legitimate disputes of material facts over these issues, and those issues intersect in several instances with unresolved questions of law. The Court further finds that many of these arguments go to the merits of the case. As such, the Court will not resolve them on a motion for class certification. Nor will the Court issue an advisory opinion.

Once again, the presence of such sweeping issues – essentially, "who is liable for what, and to whom" – argues in favor of class certification, not against it. Seeking the answers to these questions – questions applicable across the class, and the common answers which will be generated – makes proceeding on a class basis an appropriate method for the fair and efficient adjudication of these controversies.

### VII. ORDER AND FINDINGS.

Pursuant to the foregoing analysis, the Court finds the case is proper to proceed as a class action in accordance with 735 ILCS 5/2-801 (2018). The Court hereby certifies the following class:

All Illinois citizens whose biometric information was collected, captured, purchased, received through trade, or otherwise obtained in Illinois at any location associated with

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the Symphony Post Acute Care Network, a/k/a Symphony Post Acute Network, as set

forth in the Illinois Biometric Information Privacy Act, 740 ILCS 14/5 et seq.

Excluded from the proposed Class are employees, officers, directors, subsidiaries and affiliates of any person or business associated with the Symphony Post Acute Care Network, a/k/a Symphony Post Acute Network, the judge or any officer of the court

presiding over this action.

The Court also finds it appropriate to certify the following subclass:

All Illinois citizens whose biometric information was collected, captured, purchased, received through trade, or otherwise obtained in Illinois at the Symphony Post Acute Care Network, a/k/a Symphony Post Acute Network location in Swansea, Illinois, as set

forth in the Illinois Biometric Information Privacy Act, 740 ILCS 14/5 et seq.

Excluded from the proposed Class are employees, officers, directors, subsidiaries and affiliates of any person or business associated with the Symphony Post Acute Care

Network, a/k/a Symphony Post Acute Network, the judge or any officer of the court

presiding over this action.

The Court finds it appropriate to certify each of these classes as to all issues in this case. The

Court further finds it appropriate to certify these classes as to legal and factual issues

concerning the liability of the Network and those associated with it. The Court reserves

jurisdiction to certify further subclasses or otherwise amend these certifications as

circumstances warrant.

SO ORDERED:

**DATE**: March 12, 2019.

101Haum

Hon, Kevin T. Hoerner

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Return Date: No return date scheduled Hearing Date: 6/11/2019 9:45 AM - 9:45 AM

Courtroom Number:

Location:

FILED 5/31/2019 3:11 PM DOROTHY BROWN CIRCUIT CLERK COOK COUNTY, IL 2019CH06434

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**EXHIBIT B** 

FILED DATE: 5/31/2019 3:11 PM 2019CH06434

### IN THE CIRCUIT COURT OF COOK COUNTY, ILLINOIS COUNTY DEPARTMENT, CHANCERY DIVISION

COREY HEARD, individually, and on behal	f of )	
all others similarly situated,	)	
	)	
Plaintiff,	)	
	)	Case No. 2019-CH-06434
V.	)	
	)	
BECTON, DICKINSON & COMPANY,	)	
	)	
Defendant.	)	
	)	

### AFFIDAVIT OF COREY HEARD

- I, Corey Heard, being first duly cautioned, swear and affirm as follows:
- 1. I am over the age of 18 and competent to testify.
- 2. I am the Named Plaintiff and proposed Class Representative in this case.
- 3. I understand what it means to be a class representative. As a class representative, I am looking out for the interests of the other class members.
- 4. I do not have any conflicts with the class members because they were treated like I was with respect to this lawsuit. I have their interests in mind, as well as my own, in bringing this lawsuit.

- DocuSloned by:

FURTHER YOUR AFFIANT SAYETH NOT.

Date: 5/30/2019	Corey Heard	
Date:	55302FE5D6F54E7.	
	Corey Heard	

FILED DATE: 5/31/2019 3:11 PM 2019CH06434

Return Date: No return date scheduled Hearing Date: 6/11/2019 9:45 AM - 9:45 AM

Courtroom Number:

Location:

FILED 5/31/2019 3:11 PM DOROTHY BROWN CIRCUIT CLERK COOK COUNTY, IL 2019CH06434

5255479

### **EXHIBIT C**

### IN THE CIRCUIT COURT OF COOK COUNTY, ILLINOIS COUNTY DEPARTMENT, CHANCERY DIVISION

COREY HEARD, individually, and on behalt	fof)	
all others similarly situated,	)	
	)	
Plaintiff,	)	
	)	Case No. 2019-CH-06434
$\mathbf{v}_{\star}$	)	
	)	
BECTON, DICKINSON & COMPANY,	)	
	)	
Defendant.	)	
	)	

### AFFIDAVIT OF RYAN F. STEPHAN

- I, Ryan F. Stephan, being first duly cautioned, swears and affirms as follows:
- 1. I am one of Plaintiff's Counsel in the above-referenced matter.
- 2. I submit this Affidavit in support of Plaintiff's Motion for Class Certification and Request for Discovery on Certification Issues.
- 3. I am the founding partner of the law firm of Stephan Zouras, LLP. Attached hereto as Exhibit 1 is a true and correct copy of the firm's resume.

FURTHER YOUR AFFIANT SAYETH NOT.

Date: May 31, 2019

Subscribed and sworn to before me on this 31 day of

May, 2019

Notary Public

ADRIANNA PARKER
OFFICIAL SEAL
Notary Public, State of Illinois
My Commission Expires
January 03, 2022

### EXHIBIT 1

# STEPHANZOURAS ATTAM

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### FIRM PROFILE

**STEPHAN ZOURAS, LLP** is a national law firm which concentrates on helping our clients in complex class and individual litigation. The firm is widely recognized for its vigorous advocacy, skill, integrity and experience litigating wage and hour law and other employment disputes, mass torts and catastrophic personal injury, consumer protection, cybersecurity, products liability and other complex litigation. We are routinely appointed lead counsel in high-stakes, groundbreaking, rapidly-developing areas with far-reaching impact. Our attorneys have testified before legislative bodies and worked on legislation designed to protect worker's rights.

Our Chicago-based firm is recognized for its leadership, its zealous, thorough and efficient prosecution of class actions, and for achieving outstanding results at both the trial and appellate levels throughout the United States. The firm's two founding partners, James B. Zouras and Ryan F. Stephan, have successfully prosecuted claims ranging from individual wrongful death and other catastrophic injury cases to complex, multi-district class and collective actions which have collectively resulted in a recovery of more than \$150,000,000 for hundreds of thousands of individuals. Stephan Zouras, LLP has "substantial class action experience [and] have secured multi-million-dollar class recoveries...." Bhattacharya v. Cappemini North America, Inc., 324 F.R.D. 353, 363 (N.D. Ill. 2018) (Kennelly, J.)

### PRINCIPAL ATTORNEYS

JAMES B. ZOURAS is a founding principal of Stephan Zouras, LLP. Dedicating his entire professional career to combating corporate abuse and injustice, Jim has helped thousands of people recover tens of millions of dollars in damages in individual and class actions arising under federal wage and hour laws including the Fair Labor Standards Act ("FLSA") and comparable state wage laws, other complex litigation and catastrophic personal injury. Jim has been appointed lead or co-lead counsel on dozens of contested class actions throughout the United States. He has successfully tried over a dozen jury trials and argued over 14 appeals as lead appellate counsel before the federal and state appellate courts. In 2000, Jim was named among the *Chicago Daily Law Bulletin's* "Top 40 Lawyers Under Age 40," one of the youngest lawyers ever bestowed that honor. Jim and his cases have been profiled by numerous media outlets including the Chicago Tribune, the Chicago Sun-Times, Bloomberg BNA, Billboard Magazine and TMZ. Jim has also been interviewed by CBS Consumer Watch. Jim is frequently invited as a speaker at national class action litigation seminars. Jim is a 1995 graduate of DePaul University College of Law, where he served as Editor of the Law Review and graduated in the top 10% of his class.

RYAN F. STEPHAN is a founding principal of Stephan Zouras, LLP. Throughout his career, Ryan has been a passionate advocate for employee rights, and has helped thousands of clients recover damages in unpaid overtime, employment disputes, business litigation, products liability and personal injury cases. Ryan has successfully tried cases to verdict including obtaining a \$9,000,000 verdict on behalf of 200 employees who were misclassified and denied overtime pay. Ryan has also served as lead or co-lead counsel on dozens of complex class and collective action cases involving wage and hour matters and has helped recover damages for tens of thousands of wronged employees. In these cases, Ryan has helped establish precedent in wage and hour law, forced major corporations to change unlawful employment practices and helped recover tens of millions of dollars in unpaid wages for his clients. Ryan and his cases have been profiled by numerous media outlets including Good Morning America, Fortune, ESPN, Fox News, The Guardian, The New York Times, Think Progress, USA Today and Vice Sports. Ryan is a 2000 graduate from Chicago Kent College of Law

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Ryan and Jim are admitted to the Supreme Court of the United States as well as the Trial Bar of the United States District Court for the Northern District of Illinois. In addition, they have been admitted or admitted *pro hac vice* to prosecute class actions in the Southern and Eastern Districts of New York, the District of New Jersey, the Eastern and Middle Districts of Pennsylvania, the Western District of North Carolina, the Superior Court for the State of California, the Central District of Illinois, the District of Minnesota the Eastern District of Michigan, the Eastern District of Missouri, the District of Maryland, the Southern District of Ohio, the Northern, Middle and Southern Districts of Florida, the Northern District of Texas, the District of Massachusetts, the District of Minnesota, the First Judicial District of Pennsylvania, the Western District of Washington and the Southern and Northern Districts of Iowa.

In every consecutive year since 2009, *Chicago Magazine's* Super Lawyer Section selected both Jim and Ryan as two of the top attorneys in Illinois, a distinction given to no more than 5% of the lawyers in the state.

### **PARTNERS**

ANDREW C. FICZKO is a partner of Stephan Zouras, LLP. A tireless fighter for working people, Andy has spent his entire professional career litigating on behalf of employees in class and collective actions nationwide. Andy has helped thousands of clients recover damages in cases involving unpaid minimum and overtime wages and other benefits. Andy served as the second chair in two major federal jury trials to verdict on behalf of Plaintiffs in wage and hour matters and one state jury trial to verdict on behalf of Plaintiffs in a breach of contract matter. A 2009 graduate from Drake University Law School in 2009, Andy is admitted to the Trial Bar of the United States District Court for the Northern District of Illinois has been admitted *pro hac vice* to the Southern District of New York, the Southern and Northern Districts of Iowa, District of Massachusetts, Eastern District of Pennsylvania, and the Western District of Washington. In every consecutive year since 2014, Andy has been recognized by Chicago Magazine's Super Lawyer section as a Rising Star, a distinction given to no more than 2.5% of Illinois lawyers.

**TERESA M. BECVAR** is a partner of Stephan Zouras, LLP. A steadfast advocate for individual rights, Teresa has helped thousands of clients hold corporations accountable in employment and consumer protection cases. Teresa has extensive experience in a wide range of employment cases, including wage and hour class and collective actions and employment discrimination. Teresa is a 2013 graduate of Chicago-Kent College of Law, where she served as Editor of the Law Review and graduated in the top 15% of her class. Teresa has been admitted *pro hac vice* to the Eastern and Southern Districts of New York, the Western District of Washington, the Middle District of Florida and the Central District of California. In every consecutive year since 2016, Teresa has been recognized by Chicago Magazine's Super Lawyer section as a Rising Star, a distinction given to no more than 2.5% of Illinois lawyers.

CATHERINE T. MITCHELL is a partner of Stephan Zouras, LLP who graduated from The John Marshall Law School in 2015. Catherine litigates on behalf of Stephan Zouras, LLP's clients in both class action and individual litigation, representing people in a wide-range of legal disputes, including unpaid wages, employee misclassification, mass torts, antitrust, and consumer fraud. Catherine is an active member of the Women's Bar Association of Illinois and the Young Lawyers Society of the Chicago Bar Association, and served as a Chapter Editor for the Second Edition of BNA's Age Discrimination in Employment Act Treatise. Catherine is admitted to practice in Illinois, the District of Colorado, the Eastern District of Wisconsin and has been admitted *pro hac vice* to the Southern and Eastern District of North Carolina. Catherine

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earned her Bachelor's Degree from Saint Mary's College where she was a member of the Dean's list and served as a Member Counselor in the Business Enterprise Law Clinic. Catherine is currently an active member of the Women's Bar Association as well as a Director on The John Marshall Law School Alumni Association's Board of Directors.

### **ASSOCIATE ATTORNEYS**

HALEY R. JENKINS graduated *cum laude* from Chicago-Kent College of Law in 2016. Haley litigates on behalf of Stephan Zouras, LLP's clients in both class action and individual litigation. A spirited advocate, Haley represents clients in legal disputes involving unpaid wages, employee misclassification, antitrust, consumer fraud, whistleblower actions, and *qui tam* cases. She is currently a member of the legal team advocating for clients' biometric privacy rights in cuttingedge cases against employers and biometric device manufacturers that unlawfully collect, store, use and disseminate employees' and consumers' biometrics data. Haley is admitted to practice in Illinois and the District of Colorado and has been admitted *pro hac vice* to the Middle District of Pennsylvania. Haley graduated from the University of Illinois at Urbana-Champaign in 2013 where she majored in English.

**ANNA M. CERAGIOLI** earned her Juris Doctor from Chicago-Kent College of Law where she was named to the Dean's List and elected President of the Moot Court Honor Society. She was one of only twelve graduating students inducted into the Chicago-Kent Bar & Gavel Society. Anna is a skilled and dedicated advocate for individuals and groups of people who have been injured, deprived of earned wages or otherwise mistreated by employers. She has worked tirelessly on an array of individual and class actions lawsuits involving unpaid wages, employee misclassification, unlawful credit checks and consumer fraud. Anna received her undergraduate degree from Marquette University where she double-majored in Writing Intensive English and Politics in Law.

### **OF COUNSEL**

DAVID J. COHEN, a highly skilled and successful class-action attorney, joined Stephan Zouras, LLP in April 2016 and manages our Philadelphia office. Dave has spent his entire career fighting to protect the rights of thousands of employees, consumers, shareholders, and union members. Before joining Stephan Zouras, Dave worked on, and ran, dozens of significant antitrust, consumer, employment and securities matters for four highly-regarded Philadelphia firms. Before joining the private sector, Dave completed a unique clerkship with the Hon. Stephen E. Levin in the Philadelphia Court of Common Pleas, during which he not only helped to develop a respected and efficient system for the resolution of the Court's class action cases, but also contributed to several well-regarded works on class actions. Dave earned a J.D. from the Temple University School of Law in 1994. While attending law school, Dave was awarded the Barristers Award for excellence in trial advocacy and worked as a teaching assistant for Hon. Legrome Davis (E.D. Pa.) as part of Temple's award-winning Integrated Trial Advocacy program. Dave graduated with honors from the University of Chicago in 1991.

Dave is admitted to practice in the United States Court of Appeals for the Third Circuit, the United States Court of Appeals for the Sixth Circuit, the United States District Court for the Eastern District of Pennsylvania, the United States District Court for the Middle District of Pennsylvania, the United States District Court for the Western District of

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Pennsylvania, the United States District Court for the District of New Jersey and the state courts of Pennsylvania and New Jersey. He is a member of the American and Philadelphia Bar Associations.

PHILIP J. GIBBONS, JR., a highly-accomplished Plaintiff's class action attorney in his own right, became of counsel to Stephan Zouras, LLP in June 2017. Phil focuses entirely on employment law, with an emphasis on helping employees recover unpaid wages including overtime. Phil began his legal career with a large national law firm, representing and counseling corporations and employers. Since 2001, Phil has exclusively represented employees. Phil is recognized by his peers as a highly skilled employment lawyer. He is listed in Best Lawyers in America and Super Lawyers. In addition, he has a perfect 10.0 rating on Avvo.com and an "A/V" rating with Martindale Hubble, which is the highest rating an attorney can receive. Phil has extensive experience litigating single and multi-plaintiff wage and hour lawsuits under the Fair Labor Standards Act, recovering unpaid overtime and minimum wages for thousands of employees throughout the United States.

Phil is admitted to practice in North Carolina, Indiana, Seventh Circuit Court of Appeals, Sixth Circuit Court of Appeals, Third Circuit Court of Appeals, Tenth Circuit Court of Appeals, U.S. District Courts Western District North Carolina, Middle District North Carolina, Southern District of Indiana, Northern District of Indiana, and Eastern District of Michigan.

### REPRESENTATIVE TRIALS, VERDICTS AND JUDGMENTS

Ray v. DISH Network

No. 01-15-0003-4651 (AAA Arbitration)

3/17/2019 – Arbitration Judgment

Final approval was awarded in the amount of \$3,250,000.00 to thousands of Colorado inside sales associates who were not paid minimum wage for all hours worked and were not paid proper overtime compensation for hours worked in excess of 40 hours per week.

Franco, et al. v. Ideal Mortgage Bankers, d/b/a Lend America

12/14/17 - Trial Court Judgment

No. 07-cv-3956 (United States District Court for the Eastern District of New York)

The Court entered a \$15.2 million judgment on behalf of several hundred loan officers who were deprived of minimum wages and overtime in violation of federal and state law.

Frisari v. DISH Network

8/25/16 - Arbitration Judgment

No. 18-160-001431-12 (AAA Arbitration)

The Arbitrator certified and granted final judgment in excess of seven figures for a class of over 1,000 New Jersey inside sales associates who performed work before and/or after their shifts without pay and were not paid the proper overtime rate when they worked in excess of 40 hours a week.

Huskey v. Ethicon Inc.

9/10/2014 – Jury Verdict

No. 2:12-cv-05201 (United States District Court for the Southern District of West Virginia)

Stephan Zouras, LLP helped secure a \$3,270,000.00 jury verdict in one of the bell-weather trial cases in the multi-district litigation against Johnson & Johnson's Ethicon unit for defective design, failure to warn and negligence related to transvaginal mesh device.

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Lee v. THR

5/22/14 – Trial Court Judgment

No. 12-cv-3078 (United States District Court for the Central District of Illinois)

As a result of the efforts of class counsel Stephan Zouras, LLP, the Court entered a judgment for a class of employees given job titles such as "Buyers," "Auditors" and "Managers" for unpaid overtime in the sum of \$12,207,880.84.

Vilches et al. v. The Travelers Companies, Inc.

12/12/12 - Arbitration Judgment

No. 11-160-000355-11 (American Arbitration Association)

Following a contested evidentiary hearing, Stephan Zouras, LLP secured a significant monetary award on behalf of a group of insurance appraiser employees seeking unpaid earned overtime under the FLSA.

Kyriakoulis, at al. v. DuPage Health Center

11/8/12 - Jury Verdict

No. 10-cv-7902 (United States District Court for the Northern District of Illinois)

Stephan Zouras, LLP achieved a favorable jury verdict on behalf of several medical assistants deprived of minimum and overtime wages in violation of federal and Illinois law.

Smith v. Safety-Kleen Systems, Inc.

7/11/12 - Jury Verdict

No. 10-cv-6574 (United States District Court for the Northern District of Illinois)

Stephan Zouras, LLP achieved a favorable jury verdict on behalf of a chemical handler deprived of overtime wages in this donning and doffing action brought under the FLSA.

Wong v. Wice Logistics

1/30/12 - Jury Verdict

No. 08 L 13380 (Circuit Court of Cook County, Illinois)

Stephan Zouras, LLP recovered unpaid commissions and other damages for Plaintiff based on her claims under the Illinois Wage Payment and Collection Act.

Daniels et al. v. Premium Capital Financing

10/18/11 - Jury Verdict

No. 08-cv-4736 (United States District Court for the Eastern District of New York)

Stephan Zouras, LLP was appointed lead class and trial counsel and achieved a jury verdict in excess of \$9,000,000.00 on behalf of over 200 loan officers who were deprived of minimum wages and overtime pay.

Ferrand v. Lopas

5/22/01 - Jury Verdict

No. 00 L 2502 (Circuit Court of Cook County, Law Division, State of Illinois)

Jury verdict in excess of available liability insurance policy limits entered in favor of seriously-injured pedestrian, resulting in liability against insurance carrier for its bad faith refusal to tender the policy limits before trial.

### REPRESENTATIVE RESOLVED CLASS AND COLLECTIVE ACTIONS

Courts nationwide have appointed the firm as lead or co-lead counsel in numerous class and collective actions in which they have collectively secured over one hundred million dollars in verdicts and settlements including:

Ostrander v. Customer Engineering Services, LLC

3/25/19 – Final Judgment

No. 15-cv-01476 (United States District Court of Colorado)

Final approval of a six-figure class settlement was granted on behalf of technical service representatives who were misclassified under the federal law and were deprived of earned overtime wages.

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Davis v. Vanguard Home Care, LLC, et al.

3/22/19 – Final Approval

No. 16-cv-07277 (United States District Court for the Northern District of Illinois)

Stephan Zouras, LLP achieved a six-figure class settlement on behalf of a group of Home Health Clinicians who were misclassified as exempt under federal and state wage laws.

Goh v. NCR Corporation

2/25/19 - Final Approval

No. 01-15-0004-0067 (AAA Arbitration)

In granting class certification and approval of a settlement in excess of six figures for over three-thousand class members employed by NCR who were subjected to improper background checks, the Arbitrator found that the attorneys of Stephan Zouras "rendered exemplary services for [their] clients and acted with great care, diligence, and professionalism."

Moseman v. U.S. Bank National Association

1/07/19 - Final Approval

No. 17-cv-00481 (United States District Court for the Western District of North Carolina)

As lead counsel, Stephan Zouras, LLP achieved a class wide settlement on behalf of individuals employed as AML/BSA Preliminary Investigators who worked in excess of 40 hours per week and were not paid proper overtime compensation.

Ivy v. Adventist Midwest Health

11/14/18 - Final Approval

No. 16-cv-7606 (United States District Court for the Northern District of Illinois)

Stephan Zouras, LLP achieved a six-figure class settlement on behalf of Home Health Clinicians who worked in excess of 40 hours per week and were not paid overtime.

Bhattacharya v. Capgemini, et al.

11/13/18 - Final Approval

No. 16-cv-07950 (United States District Court for the Northern District of Illinois)

Final approval for class settlement in the amount of \$990,000.00 was granted and awarded to approximately 900 Indian national participants of Capgemini's Group Health Plan based on alleged violations of the Employee Retirement Income Security Act ("ERISA").

Carver v. Presence Health Network, et al.

7/10/18 - Final Approval

No. 15-cv-02905 (United States District Court for the Northern District of Illinois)

Stephan Zouras, LLP helped achieve final approval of a seven-figure class settlement on behalf of participants and beneficiaries of benefit plans sponsored by Presence Health based on alleged violations of the Employee Retirement Income Security Act ("ERISA").

Lukas v. Advocate Health Care, et al.

6/27/18 - Final Approval

No. 14-cv-01873 (United States District Court for the Northern District of Illinois)

Stephan Zouras, LLP helped achieve final approval of a seven-figure class settlement on behalf of thousands of participants and beneficiaries to Advocate Health Care Network's Pension Plan based on alleged violations of the Employee Retirement Income Security Act ("ERISA").

Brown v. Health Resource Solutions, Inc.

4/20/18 - Final Approval

No. 16-cv-10667 (United States District Court for the Northern District of Illinois)

The Court granted final approval of class settlement for \$900,000.00 in unpaid overtime wages on behalf of Home Health Clinicians who were misclassified as exempt under federal and state wage laws.

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### Eggleston v. USCC Services, LLC.

2/16/18 – Final Approval

No. 16-cv-06775 (United States District Court for the Northern District of Illinois)

As co-lead counsel, Stephan Zouras, LLP helped obtain final approval of a \$1,250,000 class settlement for unpaid overtime wages on behalf of misclassified Sales Managers.

### Caison v. Sogeti USA, LLC, et al.

2/12/18 - Final Approval

No. 17-cv-2786 (United States District Court for the Northern District of Illinois)

As lead counsel, Stephan Zouras, LLP achieved a class wide settlement on behalf of hundreds of Business Analysts who worked in excess of 40 hours per week and were not paid proper overtime compensation.

### Kaminski v. Bank of America, N.A.

2/15/18 - Final Approval

No. 16-cv-10844 (United States District Court for the Northern District of Illinois)

Final approval for class settlement in the amount of \$850,000 in unpaid wages was granted and awarded to a class of approximately 100 employees working as Senior Specialist-Securities and Operation Market Professionals.

### Byrne v. Centegra Health System

1/29/18 - Final Approval

No. 17-cv-00018 (United States District Court for the Northern District of Illinois)

The Court granted final approval of class settlement for \$425,000 in unpaid overtime wages on behalf of registered nurses, physical therapists, occupational therapists, speech therapists and other similarly-designated skilled care positions who were misclassified as exempt under federal and state wage laws.

#### Donoghue v. Verizon Communications, Inc.

11/16/17 - Final Approval

No. 16-cv-4742 (United States District Court for the Eastern District of Pennsylvania)

The Court granted final approval of class settlement for \$800,000 in unpaid overtime wages on behalf of wireline workers who were hired to fill in for Verizon employees during a strike. Despite regularly working 65 hours per week, these employees were classified as exempt and denied overtime wages.

### Tompkins v. Farmers Insurance Exchange

9/27/17 - Final Approval

No. 14-cv-3737 (United States District Court for the Eastern District of Pennsylvania)

The Court granted final approval of a \$775,000.00 class settlement on behalf misclassified loan officers seeking unpaid overtime wages.

### In re Sears Holdings Corporation Stockholder and Derivative Litigation

5/9/17 - Final Approval

No. 11081-VCL (Court of Chancery of the State of Delaware)

Stephan Zouras, LLP represented the Named Plaintiff in a \$40 million settlement in connection with a 2015 sale by Sears of 235 properties to Seritage Growth Properties.

#### Oaks v. Sears

4/12/17 - Final Approval

No. 1:15-cv-11318 (United States District Court for the Northern District of Illinois)

Stephan Zouras, LLP settled on behalf of thousands of consumers who own or once owned Sears Kenmore grills in a product defect class action.

### Hauser v. Alexian Brothers Home Health

4/06/17 - Final Approval

No. 15-cv-6462 (United States District Court for the Northern District of Illinois)

Stephan Zouras, LLP settled for \$1 million on behalf of home health care clinicians who were misclassified as "exempt" and deprived of earned overtime wages.

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Leiner v. Johnson & Johnson

1/31/17 – Final Approval

No. 15-cv-5876 (United States District Court for the Northern District of Illinois)

The Court granted final approval of a \$5 million settlement for consumers nationwide in a consumer fraud class action. Stephan Zouras, LLP represented consumers who were deceived into paying premium prices for Johnson & Johnson baby bedtime products which falsely claimed to help babies sleep better.

Reed v. Friendly's Ice Cream, LLC, et al.

1/31/17 – Final Approval

No. 15-cv-00298 (United States District Court for the Middle District of Pennsylvania)

Stephan Zouras, LLP served as co-counsel and helped obtain final approval of a \$3,500,000 class settlement on behalf of nationwide Servers who were not compensated for off-the-clock worked performed during unpaid meal breaks and after their scheduled shifts.

McPhearson v. 33 Management

11/3/16 - Final Approval

No. 15-ch-17302 (Circuit Court of Cook County, IL)

The Court granted final approval of class settlement on behalf of tenants of a Chicago apartment building where the landlords violated the City of Chicago Residential Landlord and Tenant Ordinance by collecting and holding tenant security deposits without paying interest earned.

Cook v. Bank of America

8/2/16 - Final Approval

No. 15-cv-07718 (United States District Court for the Northern District of Illinois)

The Court granted final approval of \$3,250,000 settlement for an Illinois Class and FLSA Collective on behalf of individuals who worked as Treasury Services Advisors and who were misclassified as exempt from earned overtime wages.

Altnor v. Preferred Freezer Services, Inc.

7/18/16 - Final Approval

No. 14-cv-7042 (United States District Court for the Eastern District of Pennsylvania)

The firm's attorneys served as lead counsel in this lawsuit seeking recovery of wages for unpaid meal break work for a class of 80 cold storage warehouse workers.

Lukas v. Advocate Health Care

6/29/16 - Final Approval

No. 14-cv-2740 (United States District Court for the Northern District of Illinois)

The Court granted final approval of a \$4,750,000 settlement for a federal FLSA and Illinois Minimum Wage Law collective class of home health care clinicians who were wrongly classified as "exempt" from federal and state overtime laws.

Kurgan v. Chiro One Wellness Centers LLC

4/27/16 – Final Approval

No. 10-cv-1899 (United States District Court for the Northern District of Illinois)

The Court granted Plaintiffs' motion for Section 216(b) certification of Plaintiffs' FLSA claim, granted Rule 23 certification of Plaintiffs' claims under the Illinois Minimum Wage Law and appointed Stephan Zouras, LLP as counsel for a class of chiropractic technicians and assistants.

Heba v. Comcast

4/6/16 - Final Approval

No. 12-471 (First Judicial District of Pennsylvania Court of Common Pleas of Philadelphia)

The Court granted class certification to Customer Account Executives who worked at Comcast's Pennsylvania call centers and were required to work 15 minutes a day before their scheduled start time without pay. As lead counsel, Stephan Zouras, LLP achieved a favorable resolution for over 6,000 class members.

Johnson v. Casey's General Stores, Inc.

3/3/16 - Final Approval

No. 15-cv-3086 (United States District Court for the Western District of Missouri)

The Court granted final approval on behalf of a certified class of employees of Casey's General Stores, Inc. to redress

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violations of the Fair Credit Reporting Act (FCRA).

Fields v. Bancsource, Inc.

2/3/16 - Final Approval

No. 14-cv-7202 (United States District Court for the Northern District of Illinois)

The Court entered an order granted Plaintiffs' motion for Section 216(b) certification of a class of field engineers who were deprived of overtime for hours worked in excess of 40 in given workweeks.

Elder, et al. v. Comcast Corporation

1/11/16 - Final Approval

No. 12-cv-1157 (United States District Court for the Northern District of Illinois)

The Court granted Plaintiffs' motion for conditional certification and appointed Stephan Zouras, LLP as counsel for a class of cable technicians who allege they were deprived of overtime wages in violation of federal law.

Posada, et al. v. Continental Home Loans, Inc.

1/13/16 - Final Approval

15-cv-4203 (United States District Court for the Eastern District of New York)

Stephan Zouras, LLP was appointed class counsel and achieved a substantial settlement on behalf of a class of loan officers deprived of minimum and overtime wages.

Struett v. Susquehanna Bank

10/27/15 - Final Approval

No. 15-cv-176 (United States District Court for the Eastern District of Pennsylvania)

The firm's attorneys served as co-lead counsel in this lawsuit which recovered \$300,000 in unpaid overtime wages for 31 misclassified loan officers.

Faust, et al. v. Comcast Corporation

10/11/15 - Final Approval

No. 10-cv-2336 (United States District Court for the Northern District of Maryland)

The Court granted Plaintiffs' motion for conditional certification and appointed Stephan Zouras, LLP lead counsel for a class of call center employees.

Butler, et al. v. Direct Sat

9/3/15 - Final Approval

No. 10-cv-08747 DKC (United States District Court for the District of Maryland)

Stephan Zouras, LLP reached favorable resolution on behalf of a finally-certified collective class of technicians working in DirectSat's Maryland warehouses who were not paid overtime.

Sosnicki v. Continental Home Loans, Inc.

7/30/15 - Final Approval

No. 12-cv-1130 (United States District Court for the Eastern District of New York)

As lead class counsel, Stephan Zouras, LLP achieved a six-figure settlement on behalf of a collective class of loan officers who were deprived of minimum wages and overtime in violation of federal and state law.

Bordell v. Geisinger Medical Center

4/8/15 - Final Approval

No. 12-cv-1688 (Northumberland Court of Common Pleas)

The firm's attorneys served as lead counsel in this lawsuit which challenged Defendant's workweek averaging practices and recovered \$499,000 in unpaid overtime wages for hospital workers.

Harvey, et al. v. AB Electrolux, et al.

3/23/15 - Final Approval

No. 11-cv-3036 (United States District Court for the Northern District of Iowa)

As lead counsel, Stephan Zouras, LLP achieved a six-figure settlement amount on behalf of hundreds of production workers seeking unpaid earned wages.

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Price v. NCR Corporation

No. 51-610-908-12 (AAA Arbitration)

3/18/15 - Final Approval

As lead class counsel, Stephan Zouras, LLP achieved a seven figure, arbitrator approved settlement on behalf of thousands of Customer Engineers nationwide who were deprived overtime wages in violation of federal law.

Frebes, et al. v. Mask Restaurants, LLC

1/15/15 – Final Approval

No. 13-cv-3473 (United States District Court for the Northern District of Illinois)

Stephan Zouras, LLP was appointed class counsel and achieved a substantial settlement on behalf of hundreds of servers, bartenders and bussers forced to participate in an illegal "tip pool."

Jones v. Judge Technical Services Inc.

12/15/14 – Final Approval

No. 11-cv-6910 (United States District Court for the Eastern District of Pennsylvania)

As lead class counsel, Stephan Zouras, LLP prevailed on summary judgment and subsequently achieved a seven-figure settlement on behalf of IT workers who were designated under the "Professional Day" or "Professional Week" compensation plan, misclassified as exempt from the FLSA and denied overtime pay.

Howard, et al. v. Securitas Security Services USA, Inc.

5/7/14 - Final Approval

No. 08-cv-2746 (United States District Court for the Northern District of Illinois)

and, Hawkins v. Securitas Security Services USA, Inc.

No. 09-cv-3633 (United States District Court for the Northern District of Illinois)

For settlement purposes, the Court certified a class of approximately ten thousand security guards seeking damages for unpaid wages and overtime under the FLSA and Illinois Minimum Wage Law.

**Thomas v. Matrix Corporation Services** 

2/12/14 - Final Approval

No. 10-cv-5093 (United States District Court for the Northern District of Illinois)

As lead counsel, Stephan Zouras, LLP achieved a six-figure settlement on behalf of a class of hundreds of technicians who allege they were deprived of overtime wages in violation of federal law.

Ingram v. World Security Bureau

12/17/13 - Final Approval

No. 11-cv-6566 (United States District Court for the Northern District of Illinois)

Stephan Zouras secured a class settlement on behalf of several hundred security officers deprived of minimum wages and overtime in violation of federal and state law.

Sexton v. Franklin First Financial

9/30/13 - Final Approval

No. 08-cv-04950 (United States District Court for the Eastern District of New York)

Stephan Zouras, LLP achieved a settlement on behalf of a class of approximately 150 loan officers deprived of minimum wages and overtime in violation of the FLSA.

Outlaw v. Secure Health, L.P.

9/24/13 – Final Approval

No. 11-cv-602 (United States District Court for the Eastern District of Pennsylvania)

The firm's attorneys served as lead counsel in this lawsuit seeking recovery of wages for unpaid pre-shift, meal break and uniform maintenance work for a class of 35 nursing home workers.

Robinson v. RCN Telecom Services, Inc.

8/5/13 – Final Approval

No. 10-cy-6841 (United States District Court for the Eastern District of Pennsylvania)

The firm's attorneys served as co-lead counsel in this lawsuit which recovered \$375,000 in unpaid overtime wages for misclassified cable television installers.

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Holland v. Securitas Security Services USA, Inc.

7/26/13- Final Approval

No. BC 394708 (Superior Court of California, County of Los Angeles)

As class counsel, Stephan Zouras, LLP achieved a six figure settlement on behalf of thousands of security officers who allege they were deprived of overtime wages in violation of federal law.

Jankuski v. Heath Consultants, Inc.

7/2/13 - Final Approval

No. 12-cv-04549 (United States District Court for the Northern District of Illinois)

Stephan Zouras, LLP was appointed lead counsel and achieved a settlement on behalf of gas management technicians deprived of minimum wages and overtime in violation of the FLSA.

Ord v. First National Bank of Pennsylvania

6/21/13 - Final Approval

No. 12-cv-766 (United States District Court for the Western District of Pennsylvania)

The firm's attorneys served as co-lead counsel in this consumer fraud lawsuit which recovered \$3,000,000 for consumers who had been made to pay improper overdraft fees.

Holley v. Erickson Living Management, LLC

6/13/13 – Final Approval

No. 11-cv-2444 (United States District Court for the Eastern District of Pennsylvania)

The firm's attorneys served as lead counsel in this lawsuit seeking recovery of wages for unpaid pre-shift and meal break work for a class of 63 nursing home workers.

Hansen, et al. v. Per Mar Security Services

5/15/13 - Final Approval

No. 09-cv-459 (United States District Court for the Southern District of Iowa)

Stephan Zouras, LLP was appointed class counsel and secured a settlement for hundreds of security guards deprived of minimum wages and overtime in violation of federal and state law.

Pomphrett v. American Home Bank

3/14/13 - Final Approval

No. 12-cv-2511 (United States District Court for the Eastern District of Pennsylvania)

The firm's attorneys served as co-lead counsel in this lawsuit which recovered \$2,400,000 in unpaid overtime wages for misclassified loan officers.

Murphy v. Rayan Brothers, et al.

2/22/13 - Final Approval

No. 11 CH 03949 (Circuit Court of Cook County, Chancery Division, State of Illinois)

Stephan Zouras, LLP achieved class wide recovery on behalf of a class of tenants for violations of the Chicago Residential Landlord and Tenant Ordinance (RLTO).

Glatts v. Crozer-Keystone Health System

2/6/13 - Final Approval

No. 0904-1314 (Philadelphia Court of Common Pleas)

The firm's attorneys served as co-lead counsel in this lawsuit which challenged Defendant's workweek averaging practices and recovered \$1,200,000 in unpaid overtime wages for hospital workers.

Chambers v. Front Range Environmental, LLC

1/23/13 - Final Approval

No. 12-cv-891 (United States District Court for the Northern District of Illinois)

Stephan Zouras, LLP was appointed as class counsel and resolved this action on behalf of a class of maintenance workers.

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Piehl v. Baytree National Bank

No. 12-cv-1364 (United States District Court for the Northern District of Illinois)

1/3/13 - Final Approval

Stephan Zouras, LLP was appointed class counsel and resolved this action on behalf of a class of Indiana loan officers who were paid on a commission-only basis and deprived of earned minimum wage and overtime in violation of the FLSA.

Searson v. Concord Mortgage Corporation

11/19/12 - Final Approval

No. 07-cv-3909 (United States District Court for the Eastern District of New York)

Stephan Zouras, LLP achieved a settlement on behalf of a class of 80 loan officers deprived of minimum wages and overtime in violation of the FLSA.

Ellenbecker, et al. v. North Star Cable Construction, Inc., et al.

11/14/12 - Final Approval

No. 09-cv-7293 (United States District Court for the Northern District of Illinois)

Stephan Zouras, LLP obtained Rule 23 certification, were appointed lead counsel, and achieved a significant monetary resolution for a class of several hundred cable technicians seeking unpaid overtime wages and the recovery of improper deductions from their pay.

Williams, et al. v. Securitas Security Services USA, Inc.

11/8/12 - Final Approval

No. 10-cv-7181 (United States District Court for the Eastern District of Pennsylvania)

As lead class counsel, Stephan Zouras, LLP achieved a settlement on behalf of a class of Pennsylvania security guards who were not paid for all time spent in training and orientation.

Lacv. et al. v. The University of Chicago Medical Center

11/6/12 - Final Approval

No. 11-cv-5268 (United States District Court for the Northern District of Illinois)

As lead class counsel, Stephan Zouras, LLP achieved a FLSA settlement for a collective class of hospital respiratory therapists.

Molyneux, et al. v. Securitas Security Services USA, Inc.

11/5/12 - Final Approval

No. 10-cy-588 (United States District Court for the Southern District of Iowa)

As lead class counsel, Stephan Zouras achieved a settlement on behalf of a class of Iowa and Wisconsin security guards who were not paid for all time spent in training and orientation.

Davis v. TPI Iowa, LLC

9/6/12 - Final Approval

No. 11-cv-233 (United States District Court for the Southern District of Iowa)

As class counsel, Stephan Zouras, LLP achieved a settlement on behalf of a collective class of production employees.

Kernats, et al. v. Comcast Corporation

5/28/12 - Final Approval

No. 09-cv-3368 (United States District Court for the Northern District of Illinois)

As lead class counsel, Stephan Zouras, LLP achieved a seven-figure settlement on behalf of over 7,500 Customer Account Representatives (CAEs) for unpaid wages in a Rule 23 class action brought under Illinois wage law.

Garcia, et al. v. Loffredo Fresh Produce Co., Inc.

5/24/12 - Final Approval

No. 11-cv-249 (United States District Court for the Southern District of Iowa)

As class counsel, Stephan Zouras, LLP achieved a settlement on behalf of a collective class of produce processing employees.

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Larsen, et al. v. Clearchoice Mobility, Inc., et al.

3/21/12 - Final Approval

No. 11-cv-1701 (United States District Court for the Northern District of Illinois)

Stephan Zouras, LLP achieved an FLSA settlement on behalf of a collective class of retail sales consultants.

**Etter v. Trinity Structural Towers** 

1/26/12 - Final Approval

No. 11-cv-249 (United States District Court for the Southern District of Iowa)

As class counsel, Stephan Zouras, LLP achieved a settlement on behalf of a collective class of production employees.

Petersen, et al v. Marsh USA, Inc. et al.

9/21/11 - Final Approval

No. 10-cv-1506 (United States District Court for the Northern District of Illinois)

Stephan Zouras, LLP achieved a six-figure settlement on behalf of over 30 analysts who claimed they were misclassified under the FLSA.

Thompson v. World Alliance Financial Corp.

8/5/11 - Final Approval

No. 08-cv-4951 (United States District Court for the Eastern District of New York)

Stephan Zouras, LLP were appointed lead counsel and achieved a settlement on behalf of a class of over one hundred loan officers deprived of minimum wages and overtime in violation of federal and state law.

Vaughan v. Mortgage Source LLC, et al.

6/16/11 - Final Approval

No. 08-cv-4737 (United States District Court for the Eastern District of New York)

Stephan Zouras, LLP were appointed lead counsel and achieved a settlement on behalf of a class of loan officers deprived of minimum wages and overtime in violation of federal and state law.

Harris, et al. v. Cheddar's Casual Cafe, Inc.

6/1/11 - Final Approval

No. 51 460 00557 10 (AAA Arbitration)

Stephan Zouras served as lead counsel in six-figure class settlement on behalf of over 100 restaurant workers deprived of minimum wages and overtime.

Turner v. Mercy Health System

4/20/11 - Final Approval

No. 0801-3670 (Philadelphia Court of Common Pleas)

The firm's attorneys served as co-lead counsel in this lawsuit which challenged Defendant's workweek averaging practices and, in a case of first impression, recovered \$2,750,000 in unpaid overtime wages for hospital workers.

Brown et al. v. Vision Works, et al.

3/4/11 - Final Approval

No. 10-cv-01130 (United States District Court for the Northern District of Illinois)

As lead class counsel, Stephan Zouras, LLP achieved a settlement on behalf of retail store managers improperly classified as exempt from overtime.

Havard v. Osceola Foods, Inc., et al.

2/28/11 - Final Approval

No. LA CV 0111290 (Iowa District for Clarke County, Iowa)

As lead class counsel, Stephan Zouras, LLP achieved a class settlement on behalf of meat processing plant employees who were not properly paid for donning and doffing activities performed before their shifts, during meal breaks and after their shifts.

Lagunas v. Cargill Meat Solutions Corp.

1/27/11 - Final Approval

No. 10-cv-00220 (United States District Court for the Southern District of Iowa)

Stephan Zouras, LLP served as co-lead counsel in class settlement on behalf of meat processing plant employees who were not properly paid for donning and doffing activities performed before their shifts, during meal breaks and after

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their shifts.

#### Anderson v. JCG Industries, Inc.

9/2/10 - Final Approval

### No. 09-cv-1733 (United States District Court for the Northern District of Illinois)

As lead class counsel, Stephan Zouras, LLP achieved a six-figure settlement on behalf of meat processing plant employees who were not properly paid for time worked before their shifts, during meal breaks and after their shifts.

### Cedeno, et al. v. Home Mortgage Desk, Corp., et al.

6/15/10 - Final Approval

### No. 08-cv-1168 (United States District Court for the Eastern District of New York)

Stephan Zouras, LLP along with co-counsel was appointed lead counsel and achieved a six-figure settlement on behalf of a Section 216(b) collective class of loan officers deprived of overtime wages.

### Perkins, et al. v. Specialty Construction Brands, Inc.

11/15/09 - Final Approval

### No. 09-cv-1678 (United States District Court for the Northern District of Illinois)

As lead class counsel, Stephan Zouras, LLP achieved a six-figure wage and hour settlement on behalf of a collective class of plant employees for claims of unpaid overtime, including time worked before the start of their shifts, during breaks and after the end of their shifts.

#### Wineland, et al. v. Casey's General Stores, Inc.

10/22/09 - Final Approval

### No. 08-cv-00020 (United States District Court for the Southern District of Iowa)

Stephan Zouras, LLP along with co-counsel was appointed lead counsel and achieved a seven-figure settlement on behalf of a Section 216(b) collective class and Rule 23 class of over 10,000 cooks and cashiers for unpaid wages, including time worked before and after their scheduled shifts and while off-the-clock.

#### Jones, et al. v. Casey's General Stores, Inc.

10/22/09 - Final Approval

### No. 07-cv-400 (United States District Court for the Southern District of Iowa)

Stephan Zouras, LLP along with co-counsel was appointed lead counsel and achieved a seven-figure settlement on behalf of a Section 2 I 6(b) collective class and Rule 23 class of assistant store managers for unpaid wages, including time worked before and after their scheduled shifts and while off-the-clock.

#### Stuart, et al. v. College Park, et al.

12/11/07 - Final Approval

### No. 05 CH 09699 (Circuit Court of Cook County, Chancery Division, State of Illinois)

The firm's partners served as co-lead counsel in this case brought on behalf of a class of tenants who were seeking the refund of their security deposits. As a result of their efforts, Mr. Stephan and Mr. Zouras helped achieve a six-figure settlement on behalf of a class of over 100 tenants.

#### Huebner et al. v. Graham C Stores

11/15/07 - Final Approval

### No. 06 CH 09695 (Circuit Court of Cook County, Chancery Division, State of Illinois)

Ryan Stephan of Stephan Zouras, LLP served as co-lead counsel in this wage and hour case involving claims for unpaid wages by a class of gas station employees. Mr. Stephan helped achieve a six-figure settlement for over 100 employees.

### Perez, et al. v. RadioShack Corporation

9/14/07 - Final Approval

### No. 02-cv-7884 (United States District Court for Northern District of Illinois)

The firm's partners served as co-lead counsel in this nationwide Fair Labor Standards Act ("FLSA") overtime action brought on behalf of 4,000 retail store managers. Plaintiffs claimed they were improperly classified as exempt from the FLSA and owed overtime compensation for all hours worked in excess of 40 each week. In a case of first impression, the

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Court granted summary judgment in favor of a sub-class of Plaintiffs who did not "regularly and customarily" supervise at least 80 hours of subordinate time per week at least 80% of the time as required by the executive exemption of the FLSA. The reported decision is *Perez v. RadioShack Corp.*, 386 F. Supp. 979 (N.D. III. 2005). As a result of the efforts of Plaintiffs' counsel, Plaintiffs obtained a nearly \$9 million settlement on the eve of trial.

### Reinsmith, et al. v. Castlepoint Mortgage

4/3/07 - Final Approval

No. 05-cv-01168 (United States District Court, Eastern District of Massachusetts)

The firm's partners served as co-lead counsel in this action brought on behalf of a collective class of loan officers seeking to recover unpaid overtime. Mr. Stephan and Mr. Zouras helped achieve a seven-figure settlement on behalf of over 100 loan officers in this case.

### Kutcher, et al. v. B&A Associates

11/20/06 - Final Approval

No. 03 CH 07610 (Circuit Court of Cook County, Chancery Division, State of Illinois)

The firm's partners served as co-lead counsel in this case brought on behalf of a class of tenants who were seeking damages based on alleged security deposit violations. As a result of their efforts, Mr. Stephan and Mr. Zouras helped achieve a six-figure settlement on behalf of a class of over 100 tenants.

### Ciesla, et al. v. Lucent Technologies, Inc.

7/31/06 - Final Approval

No. 05-cv-1641 (United States District Court for the Northern District of Illinois)

The firm's partners served as co-lead counsel in this breach of contract class action against a high-tech communications company. Mr. Stephan and Mr. Zouras helped obtain a seven-figure settlement on behalf of the class.

#### Casale, et al. v. Provident Bank

7/25/05 - Final Approval

No. 04-cv-2009 (United States District Court for the District of New Jersey)

The firm's partners served as co-lead counsel in this case brought on behalf of a collective class of over 100 loan officers who were seeking damages based on wage and hour violations of the FLSA. As a result of their efforts, Mr. Stephan and Mr. Zouras helped achieve a seven-figure settlement on behalf of the Plaintiffs.

#### Corbin, et al. v. Barry Realty

3/22/05 - Final Approval

No. 02 CH 16003 (Circuit Court of Cook County, Chancery Division, State of Illinois)

The firm's partners served as co-lead counsel in this case brought on behalf of a class of tenants who were seeking the refund and interest on their security deposits as called for by the Chicago Residential Landlord Tenant Ordinance. As a result of their efforts, Mr. Stephan and Mr. Zouras helped achieve a six-figure settlement on behalf of a class of over 100 tenants.

### BIOMETRIC INFORMATION PRIVACY CLASS ACTION LAWSUITS

Our firm is at the forefront of BIPA litigation to protect the biometric data and privacy of employees and consumers. We have brought numerous class action lawsuits against employers and other retail businesses who have collected biometric data without consent and without instituting the proper safeguards including;

Battles, et al v. Southwest Airlines Co., et al.
 No. 01-19-0000-0715 (American Arbitration Association)

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- Bello, et al. v. The Parc at Joliet, LLC
   No. 18-CH-1888 (Circuit Court of Will County, State of Illinois)
- Brown, et al. v. Weathertech
  No. 19-CH-00503 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Bryant, et al. v. Loews Chicago Hotel, Inc., et al.
   No. 18-CH-09477 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Bryski, et al. v. Nemera Buffalo Grove, LLC, et al.

  No. 18-CH-07264 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Burt, et al. v. Anixter Inc, et al.
   No. 19-CH-04569 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Cacy, et al. v. Agco Corporation, et al.
   No. 18-CH-09968 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Cade v. East Lake Management Group, Inc., et al.
   No. 19-CH-02597 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Cameron, et al. v. Polar Tech Industries, Inc., et al.

  No. 19-CH-000013 (Circuit Court of the Twenty-Third Judicial Circuit, Chancery Division, State of Illinois)
- Chatman, et al. v. Crate and Barrel
  No. 18-CH-09277 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Cothron v. White Castle, et al.

  No. 19-cv-00382 (United States District Court for the Northern District of Illinois)
- Crooms, et al. v. Southwest Airlines
  No. 19-cv-02149 (United States District Court for the Northern District of Illinois)
- Diaz, et al. v. Silver Cross Hospital
   No. 18-CH-1327 (Circuit Court of Will County, State of Illinois)
- Doporcyk, et al. v. Mariano's
   No. 17-CH-08092 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Dixon, et al. v. Smith Senior Living
   No. 17-cv-08033 (United States District Court for the Northern District of Illinois)
- Edmond, et al. v. DPI Specialty Foods, Inc., et al.

  No. 2018-CH-09573 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Fields, et al. v. Abra Auto Body & Glass
  No. 17-CH-12271 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Figueroa, et al. v. Kronos, Inc.
  No. 19-cv-01306 (United States District Court for the Northern District of Illinois)
- Figueroa, et al. v. Tony's Fresh Market, et al.

  No. 18-CH-15728 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Fox, et al. v. Dakkota Integrated Systems, Inc.
  No. 19-CH-03620 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Fuentes, et al. v. Focal Point Exports, LTD., et al.

  No. 19-CH-03890 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- George, et al. v. Bricton 191 Associates, LLC, et al.
   No. 19-CH-04014 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- George, et al. v. Marriot International, Inc., et al.
   No. 18-CH-04413 (Circuit Court of Cook County, Chancery Division, State of Illinois)

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- Goings, et al. v. Applied Acoustics
   No. 17-CH-14954 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Guy, et al. v. Barton Healthcare, LLC
  No. 19-CH-04264 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Heard, et al. v. St. Bernard Hospital, et al.
   No. 17-CH-16828 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Heard, et al v. THC-North Shore, Inc.
   No. 17-CH-16918 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Henderson, et al. v. ADP, LLC, et al.
   No. 18-CH-07139 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Jackson, et al. v. A. Finkl & Sons, Co., et al.
   No. 18-CH-07424 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Johns, et al. v. Club Fitness of Alton, LLC
  No. 18-L-000080 (Circuit Court of Madison County, Law Division, State of Illinois)
- Johnson, et al. v. Gold Standard Baking, Inc., et al.
   No. 18-CH-09011 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Johnson, et al. v. Houston Foods, Inc., et al.
   No. 19-CH-03586 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Jones, et al. v. Hooters Management Corporation, et al.
   No. 18-Ch-00908 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Kane, et al. v. Con-Tech Lighting, et al.
   No. 18-CH-12194 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Kardos, et al. v. ABT Electronics, Inc.
   No. 19-CH-01235 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Keene, et al. v. Plymouth Place, Inc., et al.
   No. 19-CH-01953 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Krause, et al. v. Caputo's New Farm Produce, et al.
   No. 18-Ch-11660 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Liu, et al. v. Four Seasons
   No. 17-CH-14949 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Mazya, et al. v. Northwestern Lake Forest Hospital, et al.
   No. 18-CH-07161 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Morris, et al. v. Imperial Towers Condominium Assn.
   No. 18-CH-00989 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Morris, et al. v. Wow Bao
   No. 17-CH-12029 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Mosby, et al. v. The Ingalls Memorial Hospital, et al.
   No. 18-CH-05031 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Namuwonge, et al. v. Brookdale Senior Living, Inc.
   No. 19-CH-04411 (Circuit Court of Cook County. Chancery Division, State of Illinois)
- Ogen, et al. v. Wyndham Hotels & Resorts
   No. 17-CH-15626 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Peaks-Smith, et al. v. Saint Anthony Hospital, et al.

  No. 18-CH-07077 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Peatry, et al. v. Bimbo Bakeries USA, Inc.
  No. 19-CH-03945 (Circuit Court of Cook County, Chancery Division, State of Illinois)

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- Ramsey, et al. v. Daley's Medical Transportation, Inc.
   No. 18-CH-01935 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Robertson, et al. v. Hostmark Hospitality Group, Inc., et al.
   No. 18-CH-05194 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Sanchez, et al v. Elite Labor Services
  No. 18-CH-02651 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Stidwell, et al. v. NFI, LLC, et al.

  No. 19-cv-00770 (United States District Court for the Northern District of Illinois)
- Tellado, et al. v. Rich Products Corporation, et al.

  No. 2018-CH-07627 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Thome, et al. v. Flexicorps, Inc.
   No. 18-CH-01751 (Circuit Court of Cook County, Chancery Division)
- Thurman, et al. v. Northshore University HealthSystem
   No. 18-CH-03544 (Circuit Court of Cook County, Chancery Division)
- Tims, et al. v. Black Horse Carriers, Inc.
   No. 19-CH-03522 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Treadwell, et al. v. Power Solutions International, Inc., et al.

  No. 18-cv-08212 (United States District Court for the Northern District of Illinois)
- Van Jacobs, et al. v. New World Van Lines, Inc.
   No. 19-CH-02619 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Walker, et al. v. Hearthside Food Solutions, LLC
   No. 19-CH-04159 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Walton, et al. v. Roosevelt University
   No. 19-CH-04176 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- Watts, et al. v. Chicago Lakeshore Hospital
   No. 17-CH-12756 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- White, et al. v. Art Van Furniture, Inc.

  No. 19-CH-04671 (Circuit Court of Cook County, Chancery Division, State of Illinois)
- White v. East Side Child Development Center, et al.
   No. 18-CH-09599 (Circuit Court of Cook County, Chancery Division)

Return Date: No return date scheduled Hearing Date: No hearing scheduled Courtroom Number: No hearing scheduled

Location: No hearing scheduled

### IN THE CIRCUIT COURT OF COOK COUNTY, ILLINOIS COUNTY DEPARTMENT, CHANCERY DIVISION

FILED 5/31/2019 3:18 PM DOROTHY BROWN CIRCUIT CLERK COOK COUNTY, IL 2019CH06434

CODEWHEADD I WILL II	2019CH06434
COREY HEARD, individually, and on	5255872
behalf of all others similarly situated,	)
	)
Plaintiff,	) Case No. 2019-CH-06434
	)
$\mathbf{v}_{\star}$	) Honorable Judge Peter Flynn
	)
BECTON, DICKINSON & COMPANY,	)
	)
Defendant.	)
	)

### NOTICE OF MOTION

PLEASE TAKE NOTICE that on <u>Tuesday</u>, <u>June 11, 2019</u> at <u>9:45 a.m.</u> or as soon thereafter as counsel may be heard, I shall appear before the Honorable Judge Peter Flynn or any judge sitting in his stead, in the courtroom usually occupied by him at 50 West Washington, Chicago, Illinois, Room 2408, and present <u>PLAINTIFF'S MOTION FOR CLASS CERTIFICATION AND REQUEST FOR DISCOVERY ON CERTIFICATION ISSUES.</u>

Respectfully submitted,

/s/ Ryan F. Stephan

Ryan F. Stephan
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Haley R. Jenkins
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Firm ID: 43734

### **CERTIFICATE OF SERVICE**

I, an attorney, hereby certify that on May 31, 2019 a true and correct copy of the foregoing PLAINTIFF'S MOTION FOR CLASS CERTIFICATION AND REQUEST FOR DISCOVERY ON CERTIFICATION ISSUES was electronically filed via this Court's electronic filing system and will send notification of such filing to the listed attorneys of record.

s/ Ryan F. Stephan

1284

## IN THE CIRCUIT COURT OF COOK COUNTY, ILLINOIS COUNTY DEPARTMENT, CHANCERY DIVISION

MEINED	1	<sup>(6)</sup> €
Plaintiff(s),	) · · · · · · · · · · · · · · · · · · ·	
BELIDA DICKINSON	() Case No. 19 CH	6434
E 9	)	46
Defendant(s).	) × × × × ×	हा
ORDER REGARDING SU	IBSTITUTION OF JUDGE OR RE	CUSAL
1	ne Court, and the Court being advised in t	
	a Petition for Substitution of Judge as a m	60
☐ IT IS ORDERED THAT: Pursuant to ☐ Defendant, the above entitled cause is hereb reassignment for hearing on the motion.	a Petition for Substitution of Judge for Ca y transferred to the Presiding Judge of the	ause filed by  Plaintiff Chancery Division for
IT IS ORDERED THAT: The assigned Court Rule 63(c) and having withdrawn from fi hereby transferred to the Presiding Judge of the	d judge having recused himself/herself purther proceedings in this cause, the above Chancery Division for reassignment.	rsuant to Supreme e entitled cause is  ENTERED  JUDGE PETER FLYNN-178
Dated:	1000	1284 JUN 11 2010
REASSIGNMENT ORDER (S	Judge UBSTITUTION OF JUDGE OR R	CLEDOROTHY BROWN
	to the Presiding Judge of the Chancery Di	The same of the sa
pursuant to a Petition for Substitution of Judg  Recusal by the judge, and the Court having to	to the above order dated	
IT IS ORDERED THAT: This case is to on all matters.	ansferred to Calendar, Judge	for hearing
IT IS ORDERED THAT: This case is to the sole purpose of hearing the Petition for State case shall be returned to the Presiding Judge	tilectifultion of I. J. C. C	olution of the Motion,
	ENTERED:	e .
Dated:	· ·	N N
× ×	Judge Moshe Jacobius	No. 1556
	ś.	

Order

(Rev. 02/24/05) CCG N002

### IN THE CIRCUIT COURT OF COOK COUNTY, ILLINOIS

Herrd v.

No. 2019 - CH-06434

Becton, dickinson & Company

ORDER

This matter coming before the court on Plaintiff's oral Motion for Substitution of Sudge as of Right, the court being duly abuised on the premises, it is hereby ordered!

- Flaintiff's oral Motion for Substitution of subjects of Right is granted.

Attorney No.: 43734

Name: LNdren Fizzko

Atty. for: 1

Address: 100 N. Riverside Plaza

City/State/Zip: Chicago, IL

Telephone: \$12-233-1550

JUN 11 2019

CLERK DE THE CIRCUIT COURT

DEPUTY CLERK

DEPUTY CLERK

ENTERED:

Judge Judge's No.

IN THE CIRCUIT CO COUNTY DEPAR	URT OF COOK COUNTY, ILLINOIS TMENT, CHANCERY DIVISION
HEARD	)
Plaintiff(s),	9
BETTON DICKINSON	Case No. 19 CH 6434
	)
Defendant(s).	) × * # # # # # # # # # # # # # # # # # #
ORDER REGARDING SU	BSTITUTION OF JUDGE OR RECUSAL
14	e Court, and the Court being advised in the premises:
IT IS ORDERED THAT: Pursuant to	Petition for Substitution of Judge as a matter of right timely
☐ IT IS ORDERED THAT: Pursuant to a ☐ Defendant, the above entitled cause is hereby reassignment for hearing on the motion.	Petition for Substitution of Judge for Cause filed by  Plaintiff transferred to the Presiding Judge of the Chancery Division for
IT IS ORDERED THAT: The assigned Court Rule 63(c) and having withdrawn from fu hereby transferred to the Presiding Judge of the Dated:	judge having recused himself/herself pursuant to Supreme rther proceedings in this cause, the above entitled cause is Chancery Division for reassignment.  ENTERED  JUDGE PETER FLYNN-178
P	Judge Judge's No CLERK OF THE BROWN
REASSIGNMENT ORDER (S	UBSTITUTION OF JUDGE OR RECESSIVE COUNTY, IL
THIS AUSE having been transferred to Calendar, pursuant to pursuant to a Petition for Substitution of Judg Recusal by the judge, and the Court having ration of IT IS ORDERED THAT: This case is trained and inatters.	
IT IS ORDERED THAT: This case is treation for the sole purpose of hearing the Petition for Suthe case shall be returned to the Presiding Judge	thetitution of Tudes 6 - C
Dated: JUN 1 1 2019  DOROTHY BROWN.  CLERK OF THE CIRCUIT COURT OF COOK COUNTY, IL  DEPUTY CLERK	Judge Moste Jacobius No. 1556